Turn Risk into Reward with SAP Enterprise Risk and Compliance Solutions (Overview and Roadmap)

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SAPinsider 2023

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In This Session

This session provides an overview and roadmap of SAP Enterprise Risk Compliance solutions and looks at how they can support continuous, automated risk and compliance monitoring across systems and processes.

- Learn how to manage risks while accomplishing business goals.
- Reduce the time and costs associated with risk management and compliance.
- Gain advanced insight into abnormalities and risks.
- Discover how to reduce auditing costs and risks.

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Agenda

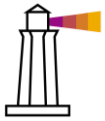
- Introduction
- Overview
- Recent Innovations
- Roadmap
- Wrap Up

01 Introduction
Challenges and Opportunities Driving Transformation

Challenges and Opportunities Driving Governance, Risk, and Compliance (GRC) Transformation

Need for managing increased risk and volatility

Rapid onset and diversity of risk events and potential for catastrophic losses



Stakeholder pressure for more reliable view of risk, accountability, transparency

Global reach and complexity of regulatory requirements

Growing focus on internal controls as a means of assurance over corporate and government resilience

Proliferation of new business models and technology

Real-time processes that allow redesign of legacy practices for risk and control monitoring

Advances in predictive and machine learning capabilities, RPA adoption

Digitalization results in speed and accuracy pressure for Audits, Due Diligence, M&A, IPO, with increasing volume, velocity and variety

Collaboration within and beyond the four walls of the organization, which requires controlled information sharing and judgement of fair and accurate representation of company finances

02 Overview

SAP GRC and Security Solutions
Solution mapping to key themes

Enterprise risk and compliance

- Manage risks, controls, and regulatory requirements in business operations
- Screen third parties and detect anomalies and fraud
- Provide independent assurance of risk and compliance standards

Identity and access governance

- Manage access for enterprise applications – cloud or on-premise
- Manage identities, authorized information access, data use, and sharing conditions
- Eliminate excessive logins with single sign-on
- Mitigate access risk violations and monitor financial impact

Cybersecurity, data protection, and privacy

- Manage cyber risk with greater alignment to information security standards
- Identify potential cyber threats and vulnerabilities in applications
- Secure files and data using transportable policies and encryption
- Enable greater control with sensitive data masking and logging

International trade management

- Manage import and export compliance as well as free trade agreements in global supply chains
- Optimize trade utilizing special customs procedures such as bonded warehouses, processing trade in China, and free trade zones in NA
- Screen third parties for improved compliance

SAP GRC and Security Solutions
Solution mapping to key themes

Enterprise Risk & Compliance

- ✓ **SAP Process Control**
- ✓ **SAP Risk Management**
- ✓ **SAP Audit Management**
- ✓ **SAP Business Integrity Screening**
- ✓ **SAP Financial Compliance Management**

Identity & Access Governance

- ✓ SAP Access Control
- ✓ SAP Cloud Identity Access Governance
- ✓ SAP Access Violation Management by Pathlock

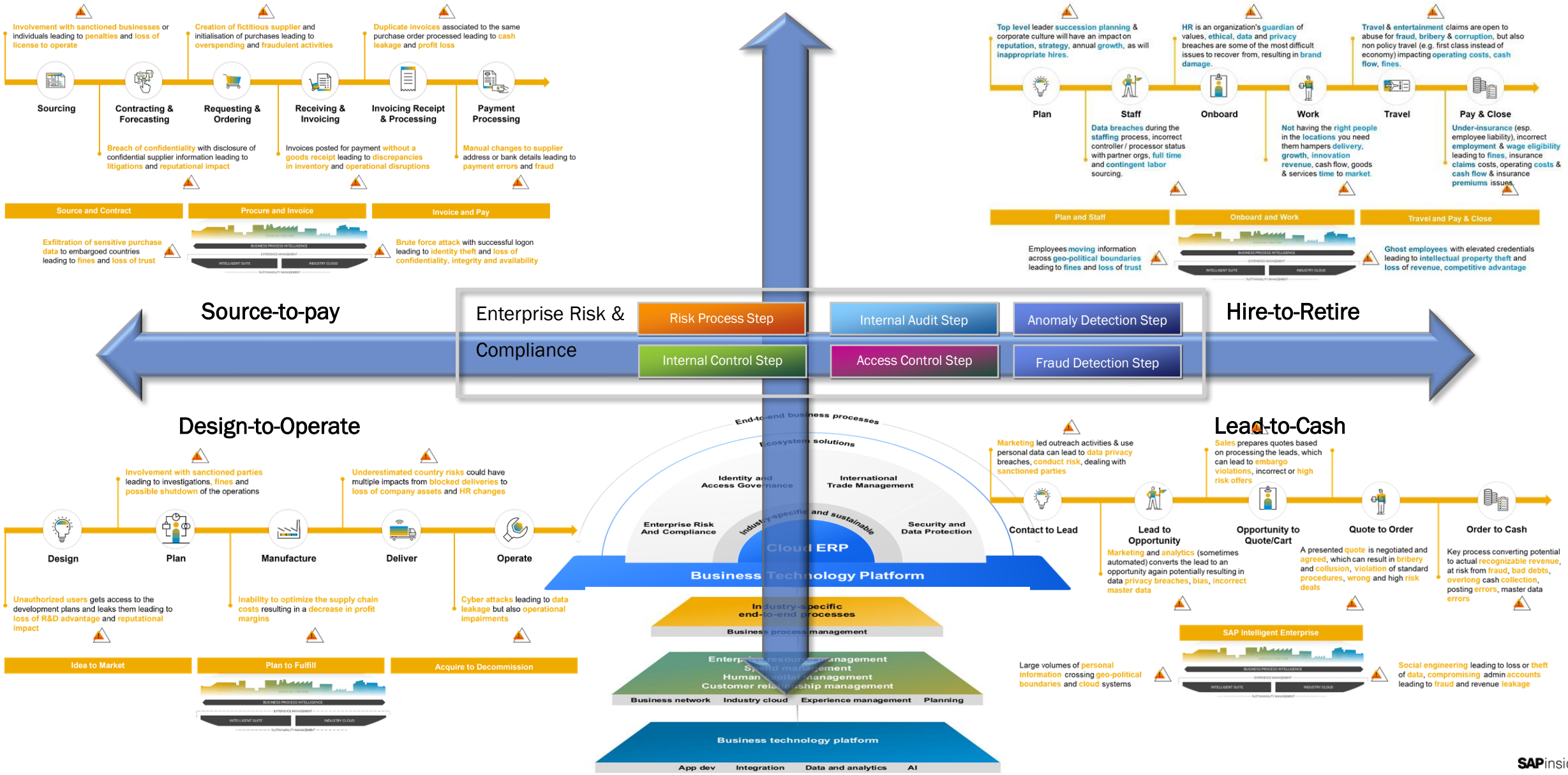
Cybersecurity, Data Protection & Privacy

- ✓ SAP Enterprise Threat Detection
- ✓ SAP Customer Data Cloud
- ✓ SAP Data Custodian
- ✓ SAP Data Custodian, Key Management Service (KMS)
- ✓ UI masking for SAP
- ✓ UI logging for SAP

International Trade Management

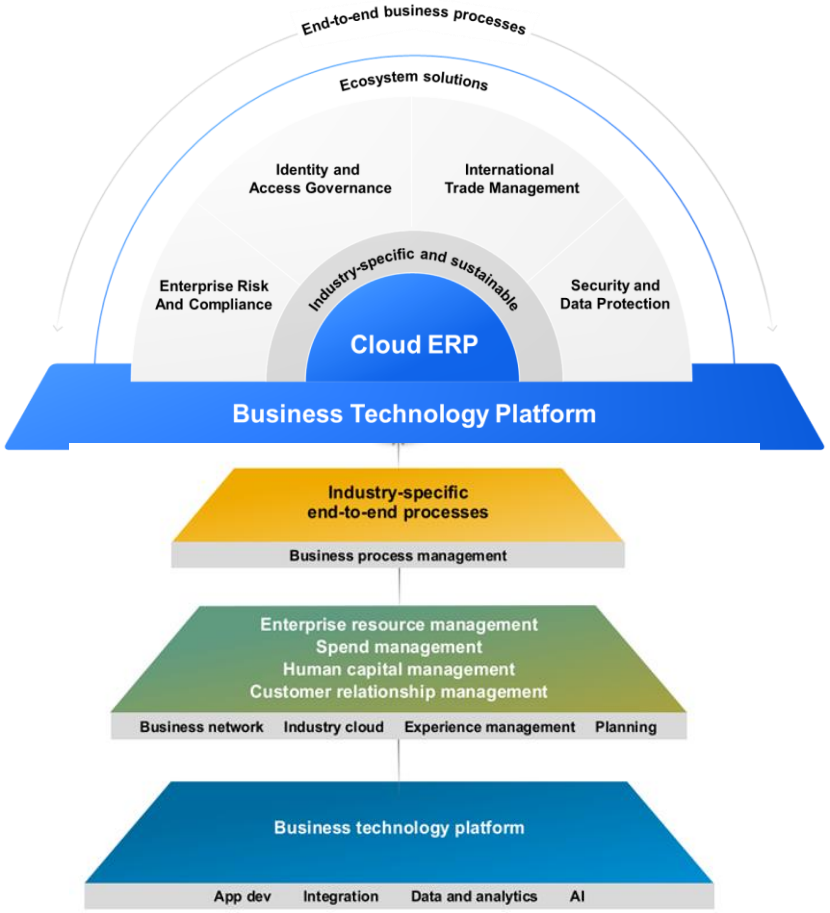
- ✓ SAP Global Trade Services
- ✓ SAP S/4HANA for international trade
- ✓ SAP Watch List Screening

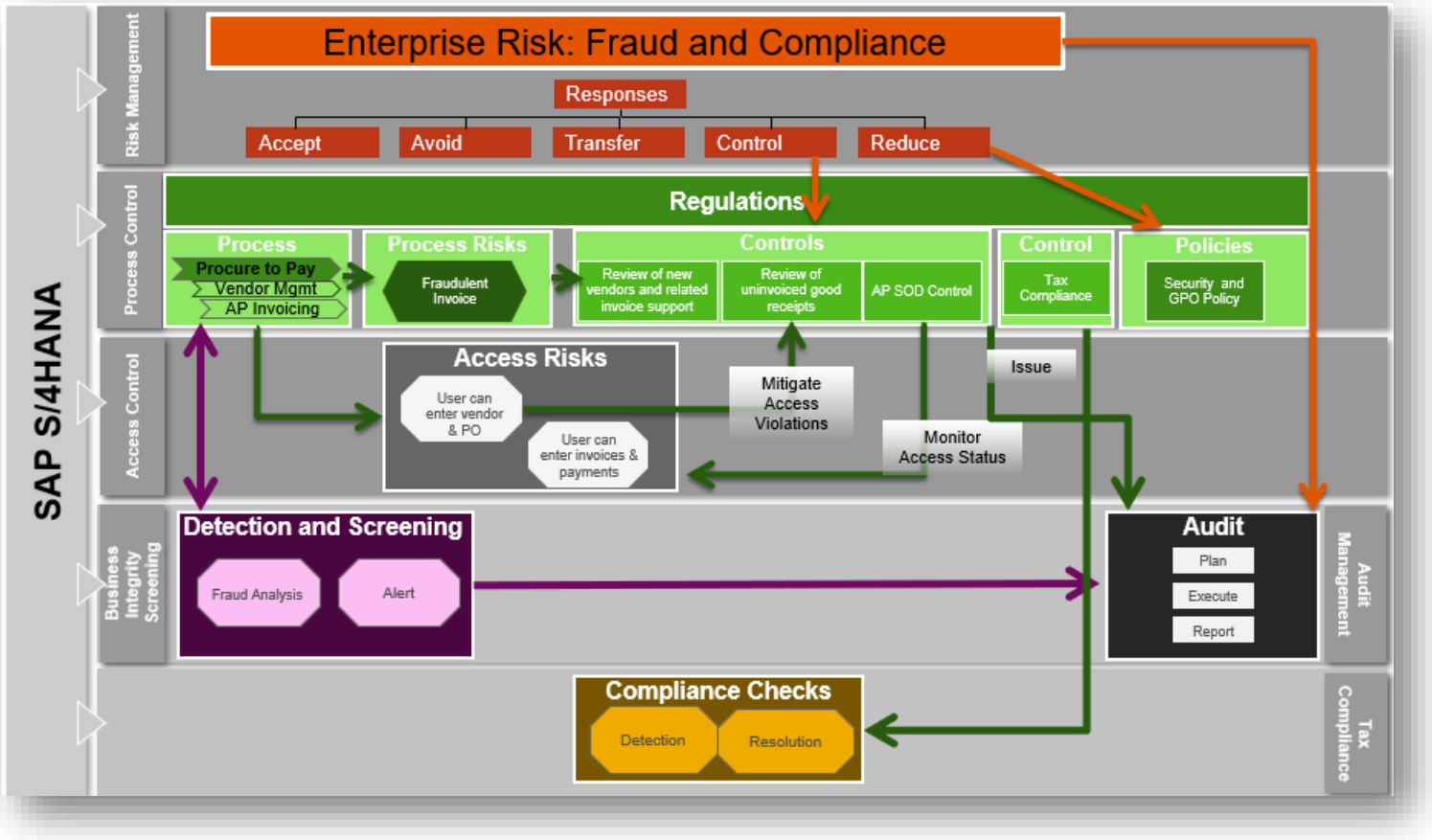
Enterprise Risk & Compliance in SAP S/4HANA and the Intelligent Enterprise



SAPinsider

SAP GRC - Technology and Integration





SAP Risk Management
Preserve and grow value

Plan
Plan risk management within the context of value to the organization

Identify
Link risks, risk drivers, risk indicators, impacts and responses

Analyze
Analyze risk via scenarios, modeling, and other factors to understand exposure

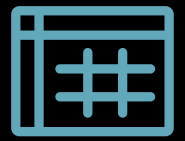
Monitor & Report
Monitor thresholds, effectiveness of risk responses, and corrective actions

Respond
Respond to risk after balancing costs and benefits

Business Benefits of **SAP Risk Management**

Risk management is an important part of any business, and effective risk management can bring many benefits.

Understand how risks and controls can be optimized to meet strategic business objectives.



Monitor risk levels, risk drivers, and key risk indicators continuously.

Identify and mitigate risks that can result in unacceptable losses.

Effective risk management can bring many benefits to a business, including gain insight into value-adding risks, scan the horizon for risks and opportunities, and preserve value by minimizing needless loss

SAP Process Control
Help ensure effective controls and ongoing compliance

- **Document**
  - Single source of truth shared across the enterprise

- **Report**
  - Insightful reporting for analysis and accountability

- **Plan**
  - Planning of focused actions to help ensure timeliness

- **Evaluate**
  - End-to-end test and issue resolution

- **Perform and Monitor**
  - Streamlined manual and automated performance

Business Benefits of **SAP Process Control**

SAP Process Control's Continuous Control Monitoring is a powerful tool for proactively managing compliance.

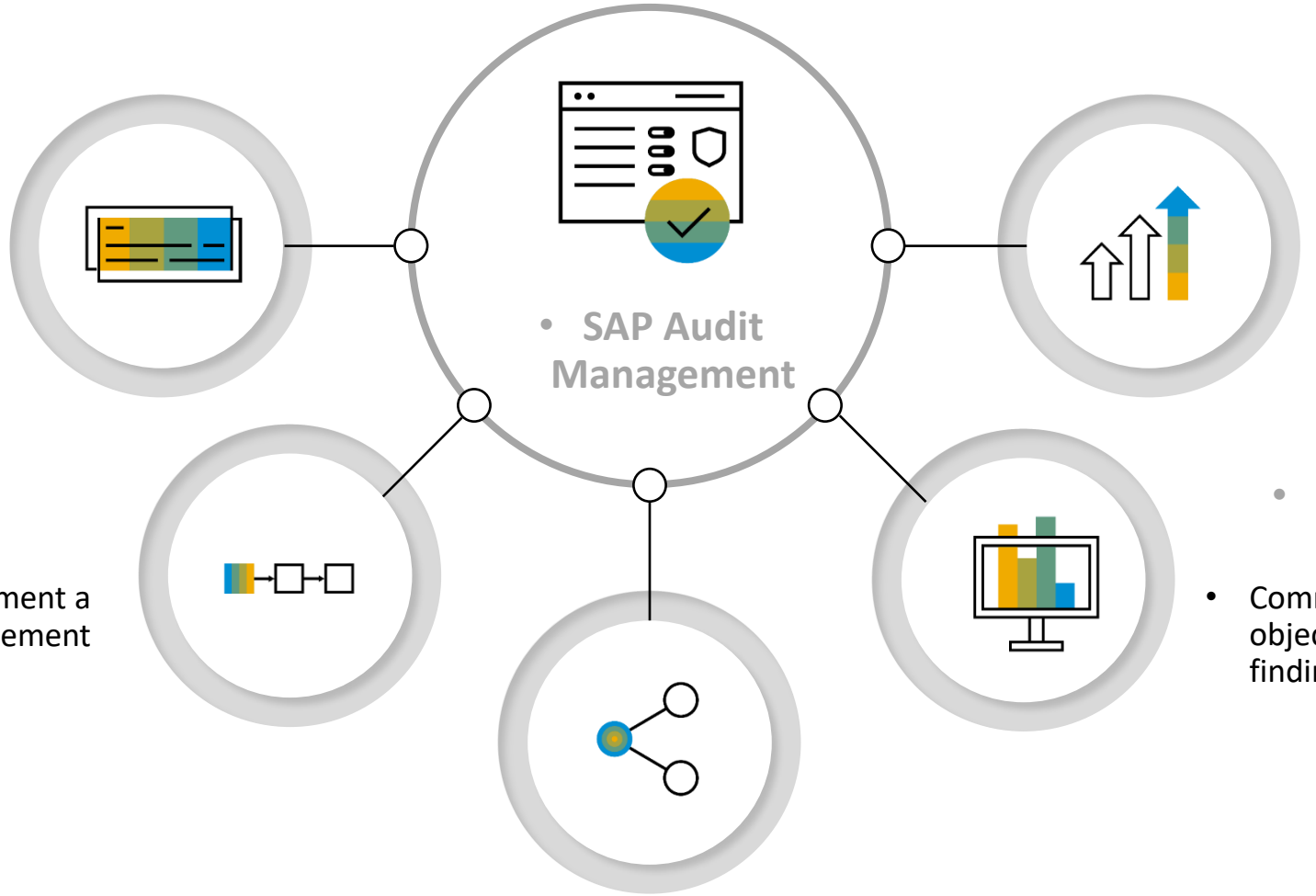
Streamline your process control operations by identifying, prioritizing, and focusing resources on key business processes and risks.

Gain real-time insights into all compliance and internal control processes with continuous control monitoring functionality.

Support compliance efforts across regulations, including anti-bribery and corruption, financial compliance, IT controls, and industry-specific requirements.

Gain real-time insights into all compliance and internal control processes with continuous control monitoring functionality.

SAP Audit Management
Transform audit. Move beyond assurance.



- **Managing Audit Plans**
  - Establish a risk-based plan, prioritize audit activities and align with the needs of the enterprise

- **Planning Audits**
  - Develop and document a plan for each engagement

- **Monitoring Progress**
  - Monitor the disposition of results reported to management

- **Communicating Audit Results**
  - Communicate engagement objectives, scope, conclusions, findings, and recommendations

- **Performing the Engagement**
  - Identify, analyze and document relevant information

Business Benefits of **SAP Audit Management**

SAP Audit Management can help organizations gain a competitive advantage by streamlining their audit processes.

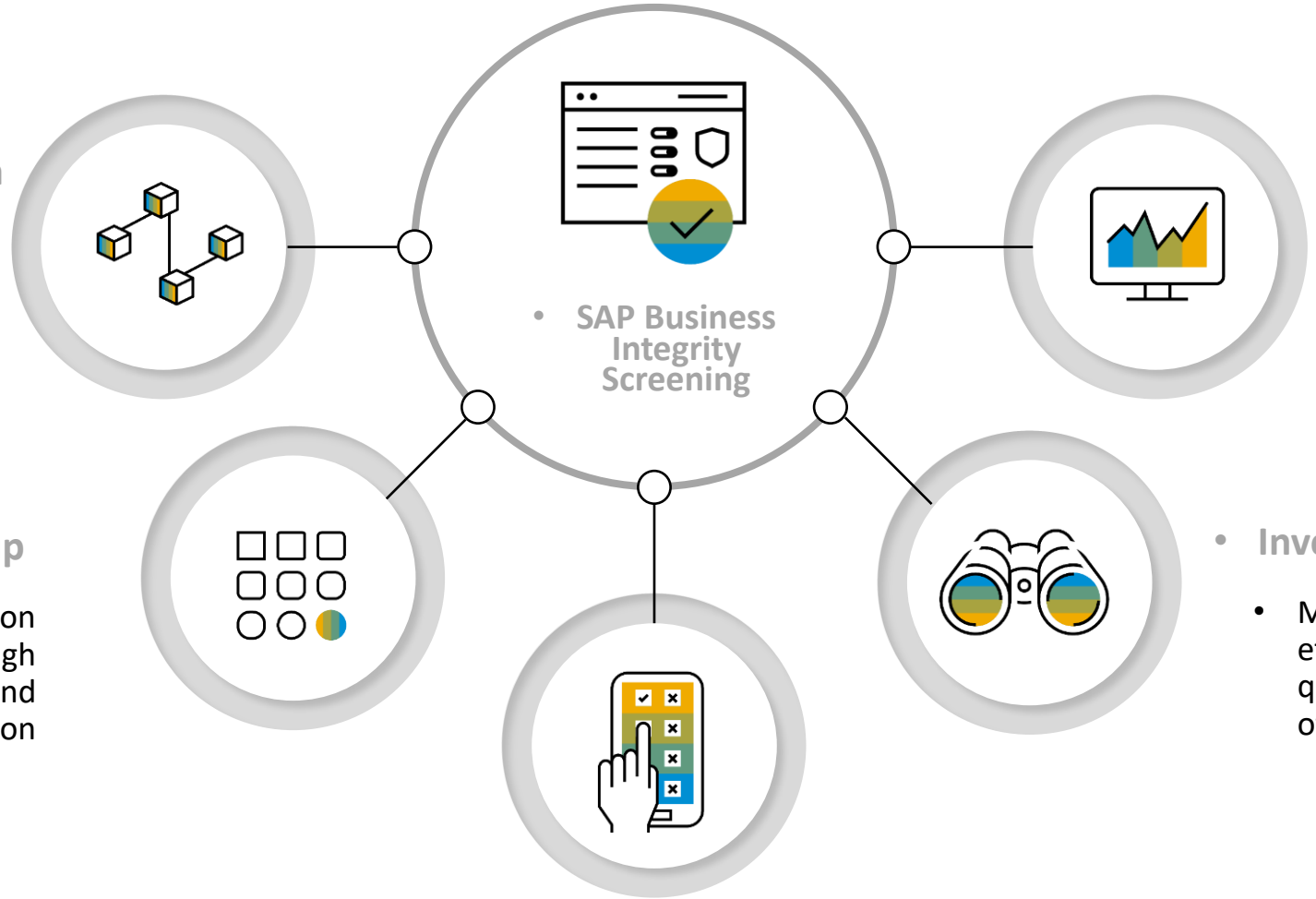
Choose high-value issues for further investigation and gain more precise insights with real-time audit analytics.

Empower internal auditors to perform timely risk assessments and collaborate in an integrated fashion.

Reduce the cost of your internal audit procedures, while shrinking the cycle time time needed to generate reporting.

"The SAP Audit Management application enables our internal audit team to provide management and the audit committee with **real-time updates on our audits** and the effectiveness of clearing management action plans across all of our segments, countries, and entities."

SAP Business Integrity Screening
Define detection and screening strategies using simulations and calibration



- **Design**
  - Determine screening lists, analyze patterns and define detection rules and models
- **Analyze Performance**
  - Monitor key performance indicators and create management reports
- **Set-up**
  - Define detection strategy through simulation and calibration
- **Investigate**
  - Manage alert workload with efficient evaluation, qualification and remediation of issues
- **Detect**
  - Execute mass and real-time detection and stop anomalies or irregular transactions

Business Benefits of **SAP Business Integrity Screening**

Minimize fraud risk

Protect revenue and reduce fraud-induced losses by screening high volumes of transactions for anomalies

Identify suspicious patterns

Use behavioral analytics to detect and investigate suspicious patterns, so you can take the necessary steps to mitigate future fraud risk.

Increase productivity

Benefit from powerful analytics capabilities that reduce false positives, improving the productivity of investigative teams.

Improve the detection and prevention of anomalies to mitigate fraud risk and reduce losses – with powerful Big Data screening software from SAP.

Business Benefits of **SAP Financial Compliance Management**

Improve compliance efficiency and reduce costs

Adopt predefined, automated content to reduce manual workload and increase efficiency.

Minimize the impact of control and risk failures

Shift your compliance practices from reactive to proactive by accelerating mitigation efforts with real-time alerting of predefined exceptions.

Centralize and simplify compliance management

Benefit from a more intuitive compliance process with access to a consolidated view of statutory internal controls requirements and issues.

Improve Compliance with Confidence – Harnessing the Cloud

03 Recent Innovations

ADVANTAGES
COMPATIBILITY
OPTIONS
USABILITY
FEATURES
RELIABILITY
VALUE
FLEXIBILITY
PERFORMANCE
EFFICIENCY
CAPABILITIES
BENEFITS
FUNCTIONALITY
SIMPLICITY
CUSTOMIZATION
SECURITY
SCALABILITY
INTEGRATION
ACCESSIBILITY
COMPETITIVENESS
CLOUD COMPUTING
OPEN SOURCE
SOFTWARE TESTING
AGILITY
SYSTEMS ARCHITECTURE
OPTIMIZATION USER INTERFACE
MAINTENANCE
DEVELOPMENT
PRODUCTIVITY
COST SAVINGS
DOCUMENTATION
PRODUCTIVITY TOOLS
DATA MINING
INNOVATION
DATA MANAGEMENT
INTEROPERABILITY
SUSTAINABILITY
DEPLOYMENT STRATEGIES
AUTOMATION
DEPLOYMENT
USER EXPERIENCE
VIRTUALIZATION SUPPORT SERVICES
RESOURCE MANAGEMENT
PROCESS AUTOMATION
SOFTWARE DEVELOPMENT LIFE CYCLE (SDLC)
MOBILE ACCESSIBILITY
ROI
TECHNOLOGY INTEGRATION
REPORTING TOOLS
NETWORKING
DEPLOYMENT
ANALYTICS USABILITY TESTING
PROJECT MANAGEMENT
DATA SECURITY
PROGRAMMING LANGUAGES
QUALITY ASSURANCE
ADAPTABILITY
COMPREHENSIVE SOLUTIONS

SAP Process Control - CCM – MCP Integration

Investment, Customer Value and Business Benefit

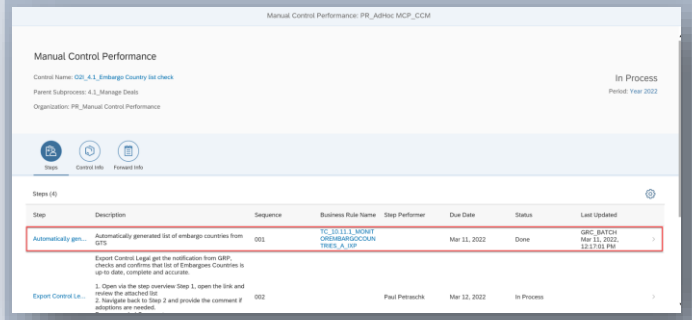
With SP14, respectively associated notes, we have delivered the integration of Manual Control Performance (MCP) and Continuous Control Monitoring (CCM)

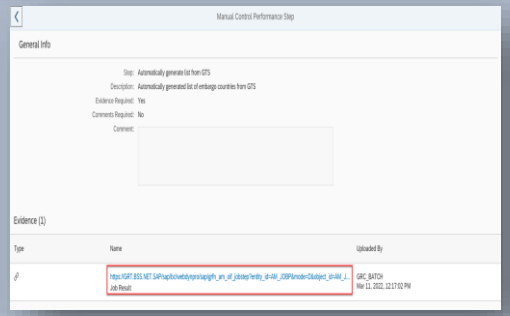
With the existing CCM functionality based on data source and business rule, the control logic is defined to generate exception data to be further analyzed and used for manual control performance.

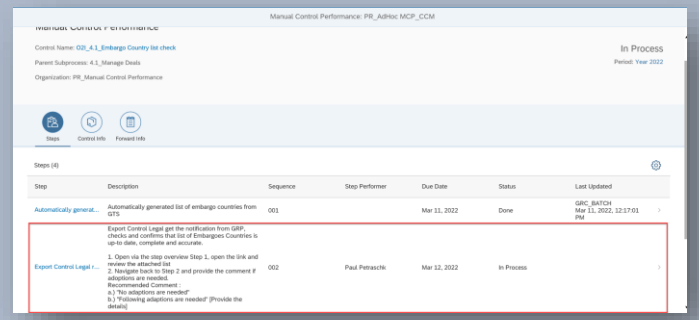
Business users can now combine automated controls with manual Control Performance for a holistic representation of associated tasks while automation is now supported to the extent possible.

Look and Feel

Video:







Adding Continuous Control Monitoring to Manual Control Performance | SAP Help Portal

SAP Process Control - Search Work Items

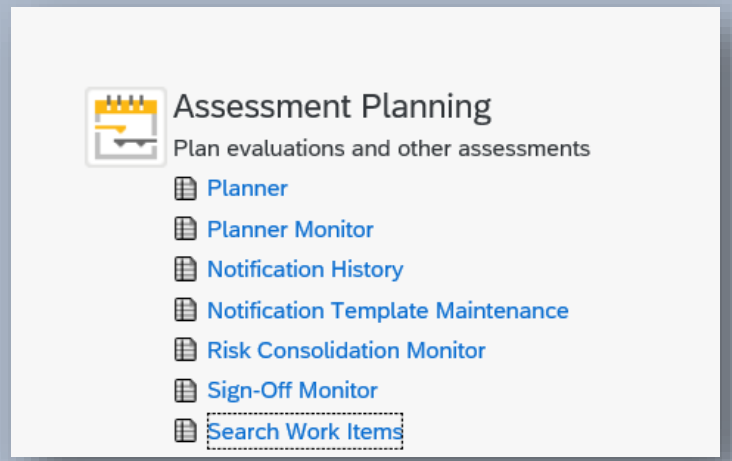
Investment, Customer Value and Business Benefit

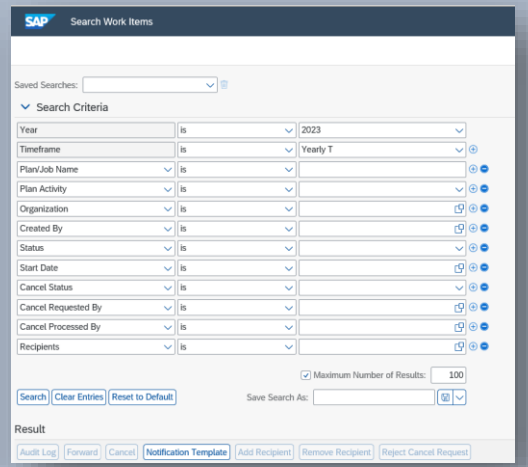
With SP03, we have introduced the Work Item Search to support users and since then have continuously enhanced it up to SP19 to meet the different business user scenarios.

Dedicated Work items can now being searched for in a special view with filtering and sorting of all relevant attributes.

Business users and GRC admins now have a dedicated and comprehensive search function available for their work items (incl. sorting and filtering of associated attributes).

Look and Feel





Check out this video

SAP Process Control - Cancel Work Items

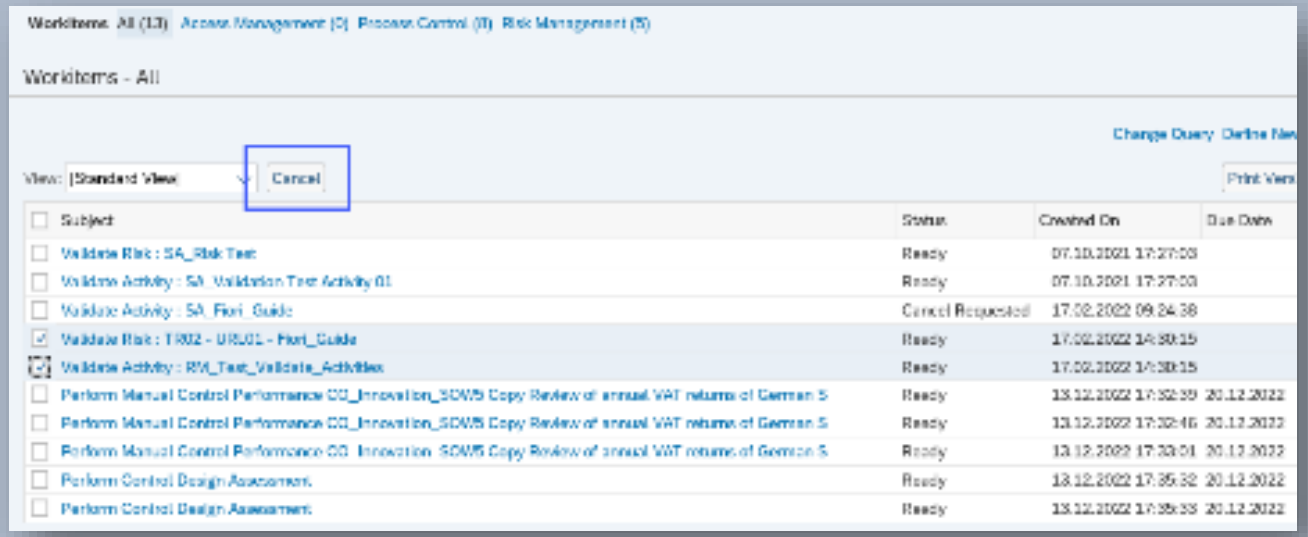
Investment, Customer Value and Business Benefit

Enhancement of workflow engine as well as associated audit log functionality together with full-blown notification template integration. Dedicated workflow items will be cancelled, but not physically be deleted.

Suitable obsolete Workflow items can now be forwarded cancelled by the users. In addition, a central administration view is available

Business users can now delete old / invalid Work Items from their inbox for a particular set of workflows.
GRC admins can receive work item cancel requests and manage them.

Look and Feel



[Cancel Work Items | SAP Help Portal]

SAP Process Control

Cancel Work Items can be used for the following:

- Perform Control Design Assessment
- Perform Subprocess Design Assessment
- Manual Control Performance
- Perform Control Disclosure Survey
- Perform Self-Assessment
- Perform Organization Disclosure Survey
- Perform Subprocess Disclosure Survey
- Test Control Effectiveness
- Workflow: Issue and Remediation Plan, Continuous Control Monitoring

SAP Process Control - Integration with SAP Signavio

Investment, Customer Value and Business Benefit

As a first step, a basic integration has been developed that allows to upload, download and link control information of SAP Process Control with SAP Signavio.

Reducing duplicate maintenance effort on controls while enabling a more seamless information integration.

Authorized users can directly drill down from the SAP Signavio control into SAP Process Control and subsequent local control details showing control results, evidence, and issues.

Look and Feel

Check out the video:

[3341419 - Integration between SAP Signavio and SAP Process Controls - SAP ONE Support Launchpad]

SAP Process Control

❑ Offline Workflow Process

You can customize to use the external email of the inbound process user instead of technical email address of the server when the offline work process is triggered. Prior to the enhancement, when offline survey pdf form is submitted, while responding to Offline survey, the generated e-mail uses internal technical e-mail address as a return address.

See SAP note 3146001

❑ Role Assignment

It's possible to replace roles on Control, Subprocess or Process for users who have no authorization to assign role on any organizational unit. Prior to this enhancement, It is not possible to do replacements of roles assigned on the Control, Subprocess and Process in case the user doing the replacement has no role assignment authorization assigned on any orgunit.

See SAP note 3195849

SAP Process Control and SAP Risk Management - SAC Dashboards

Investment, Customer Value and Business Benefit

Altogether 10 SAC dashboards (9 for RM and 1 for PC) are available out-of-the box and ready to use delivered with SP18 and 19.

Out-of the box reporting for:

- Risk Aggregation by Risk Category
- Risk Aggregation by Impact Category
- Risk Aggregation by Driver Category
- Driver Interdependencies
- Impact Interdependencies
- Probability Level
- Driver Risk Count Heatmap
- Risk Profile
- Risk Count Heatmap
- CCM

Powerful evaluations, aggregations as well as interdependency assessments are available for analysis together with monitoring capabilities on risk profile, risk bearing capacity, probability.

Look and Feel

Check out the video:

Configure URL of NetWeaver Business Client for SAC Dashboards | SAP Help Portal Configure SAC Risk Profile Dashboard | SAP Help Portal

Configure Currency Conversion for SAC Dashboards | SAP Help Portal

SAP Risk Management - One Single Entry Screen and Personalization

Investment, Customer Value and Business Benefit

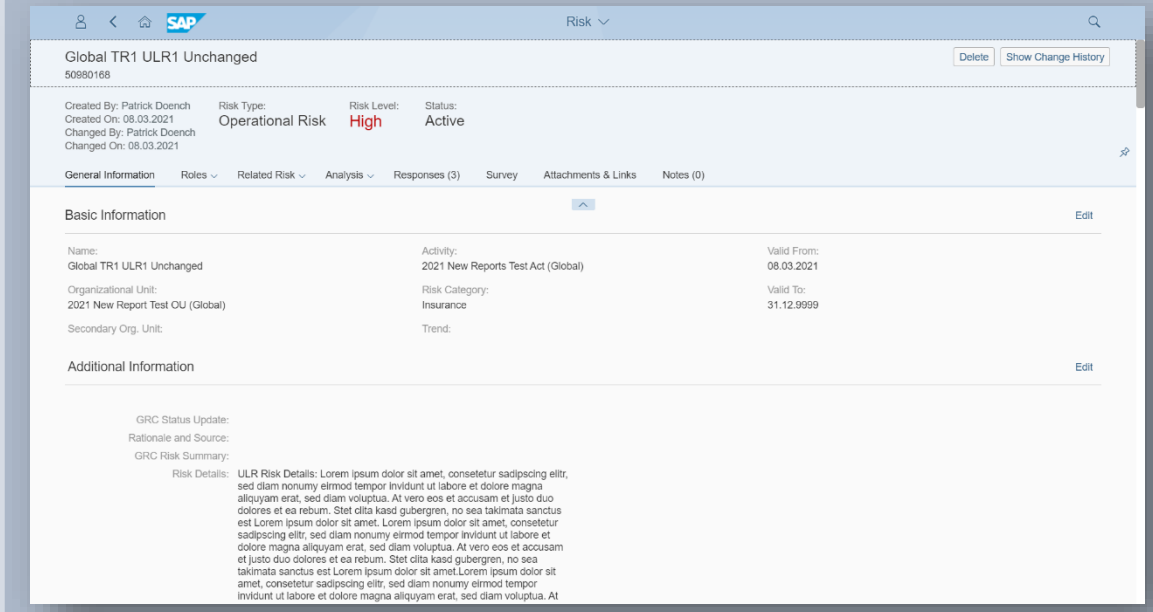
For GRC 12.0 we have worked from SP 14 to 19 to create 3 completely new Fiori tiles:

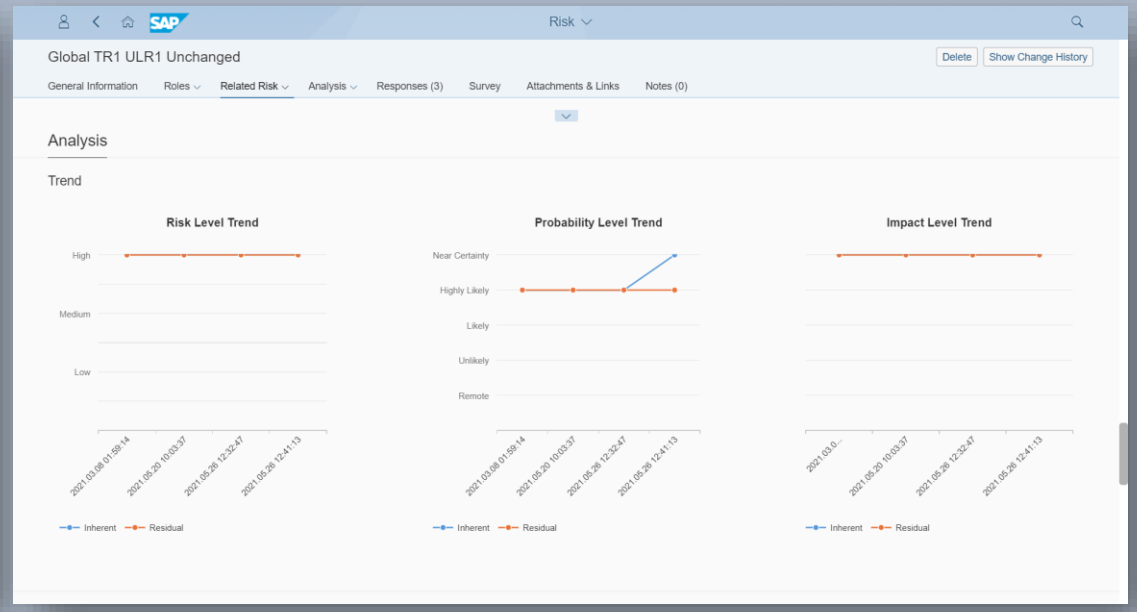
(a) Manage Risk
(b) Manage Response
(c) Manage Activities

Reason was to provide an one single entry screen to streamline the management of risks and related information.

Enhance the user experience with an increased efficiency in screen flow.

Look and Feel





SAP Risk Management - Personalization Options for One Single Entry Screen

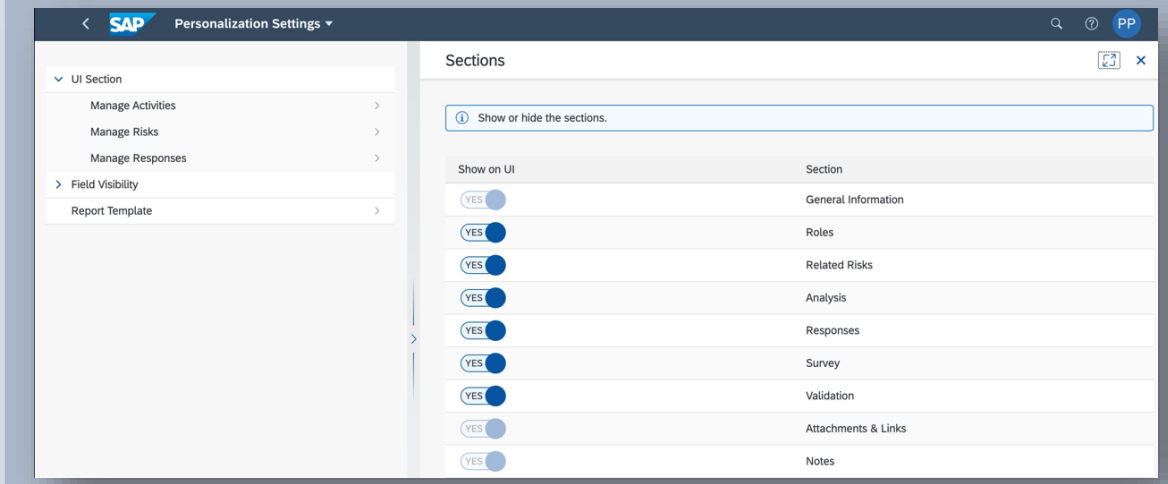
Investment, Customer Value and Business Benefit

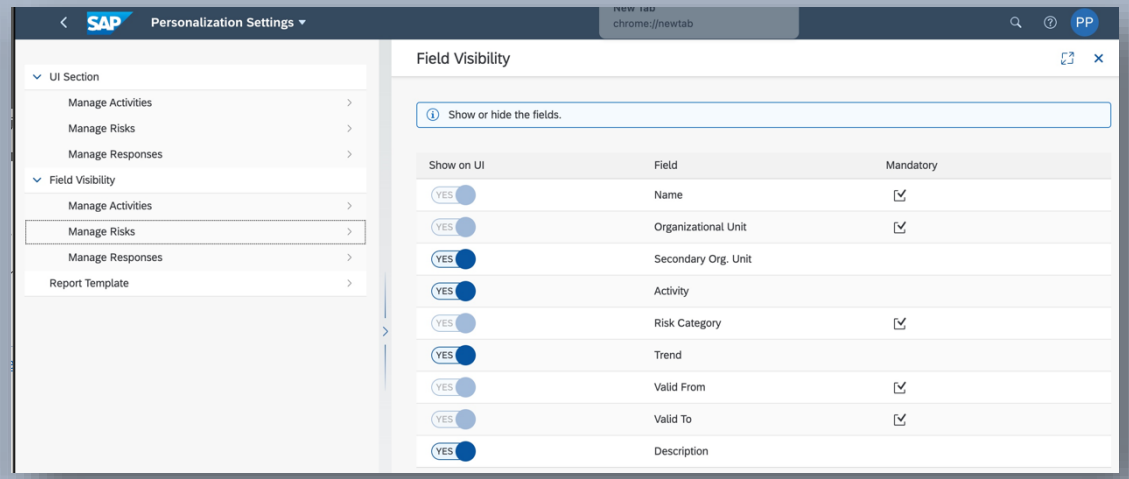
With the component UIGRRMPC 200, we have introduced additional personalization options for all three new Fiori applications.

Switch off and of course also switch back on sections or fields that are not relevant for the daily work, while of course mandatory fields may not be excluded.

An ultimately streamlined end-user experience to make daily work easier and more efficient.

Look and Feel





SAP Risk Management - UI Flexibility

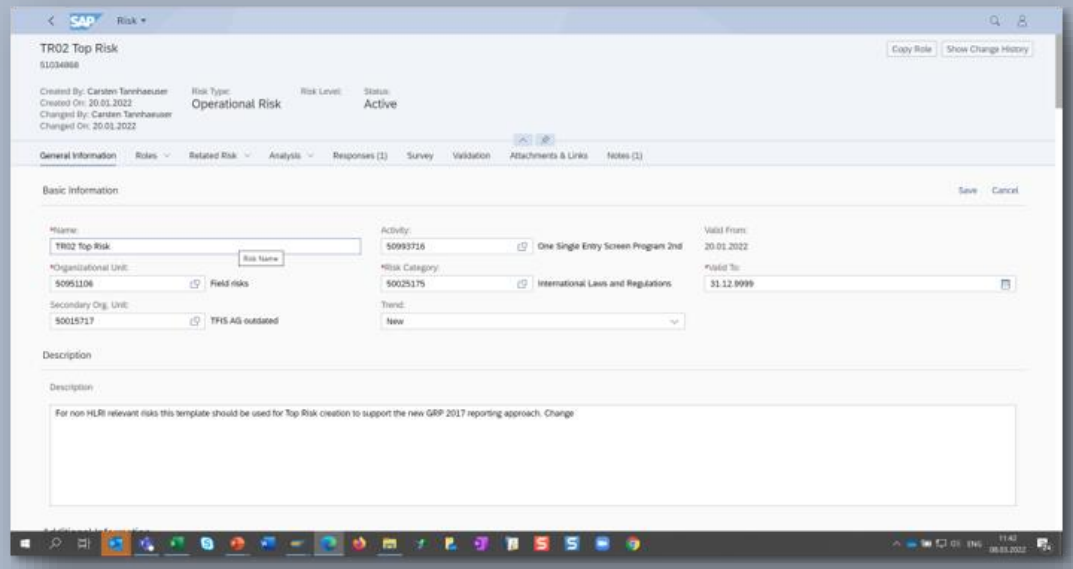
Investment, Customer Value and Business Benefit

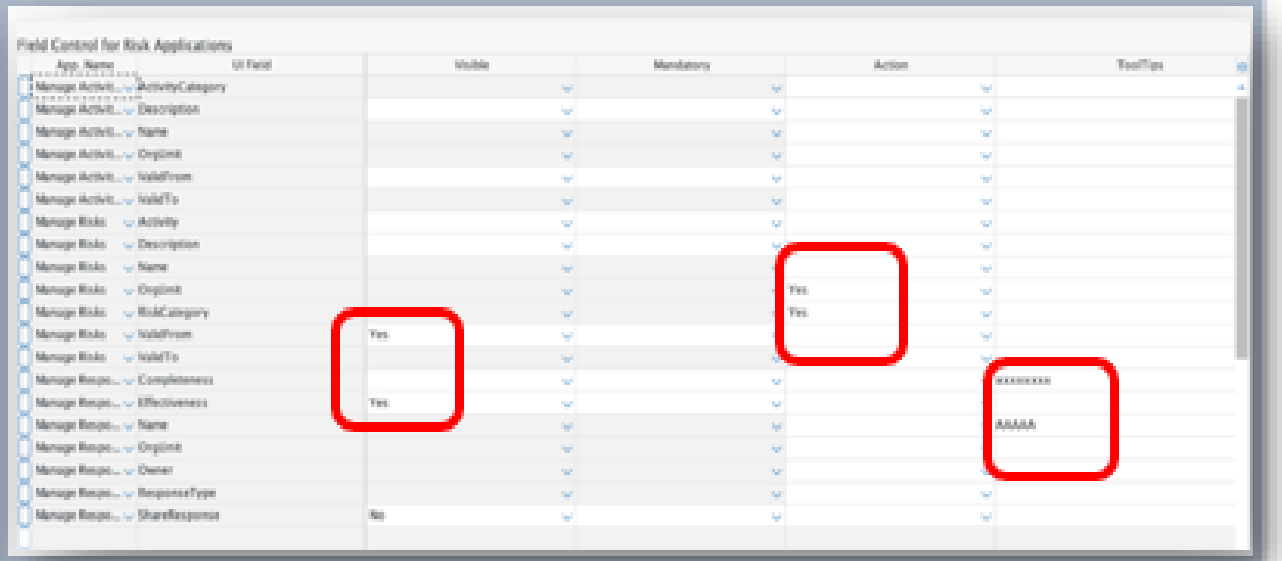
We have introduced a field control view for risk, called **V_GRRM_FLD_CNTRL** that can be maintained via transaction SM30 and has been developed from SP14-SP16.

Now it can be centrally determined which fields are visible, which are mandatory and what kind of tool tip text should be displayed, including custom-defined fields.

This feature allows to adapt screens to the real business processes and activities while increasing the end-user support with adaptable messages as well as reducing the “noise”.

Look and Feel





SAP Risk Management - Reporting Options and Personalization

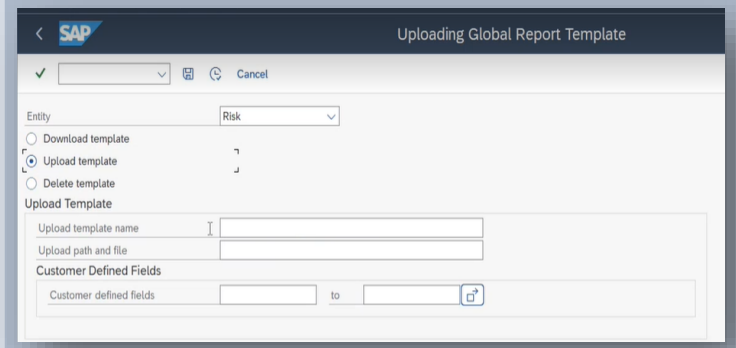
Investment, Customer Value and Business Benefit

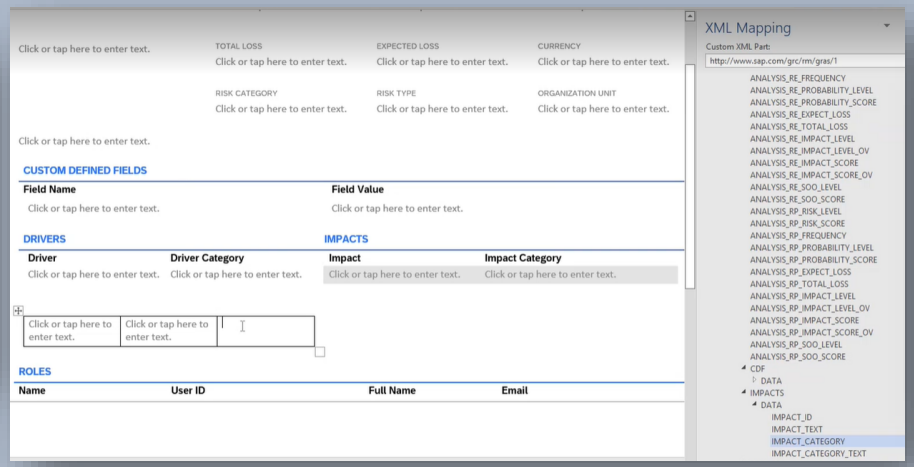
Enhancement of reporting engine and integration into the application *Generate Report*. Template provisioning to provide starting point.

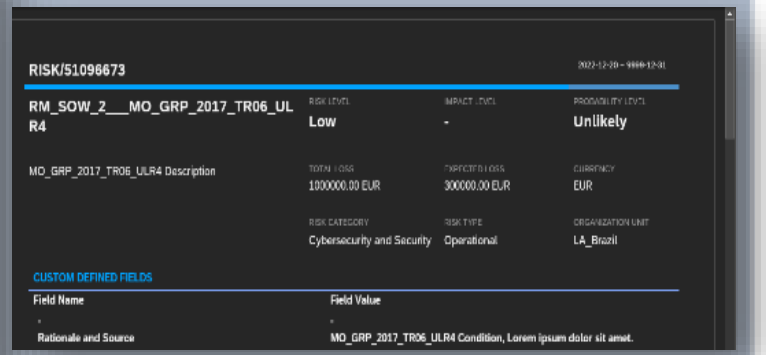
Reporting templates can now directly be developed in MS Word and can then be used from within the application including custom defined fields.

Business users can now create their individual reporting templates to meet the needs of various stakeholders utilizing the powerful MS Word features and toolbox.

Look and Feel







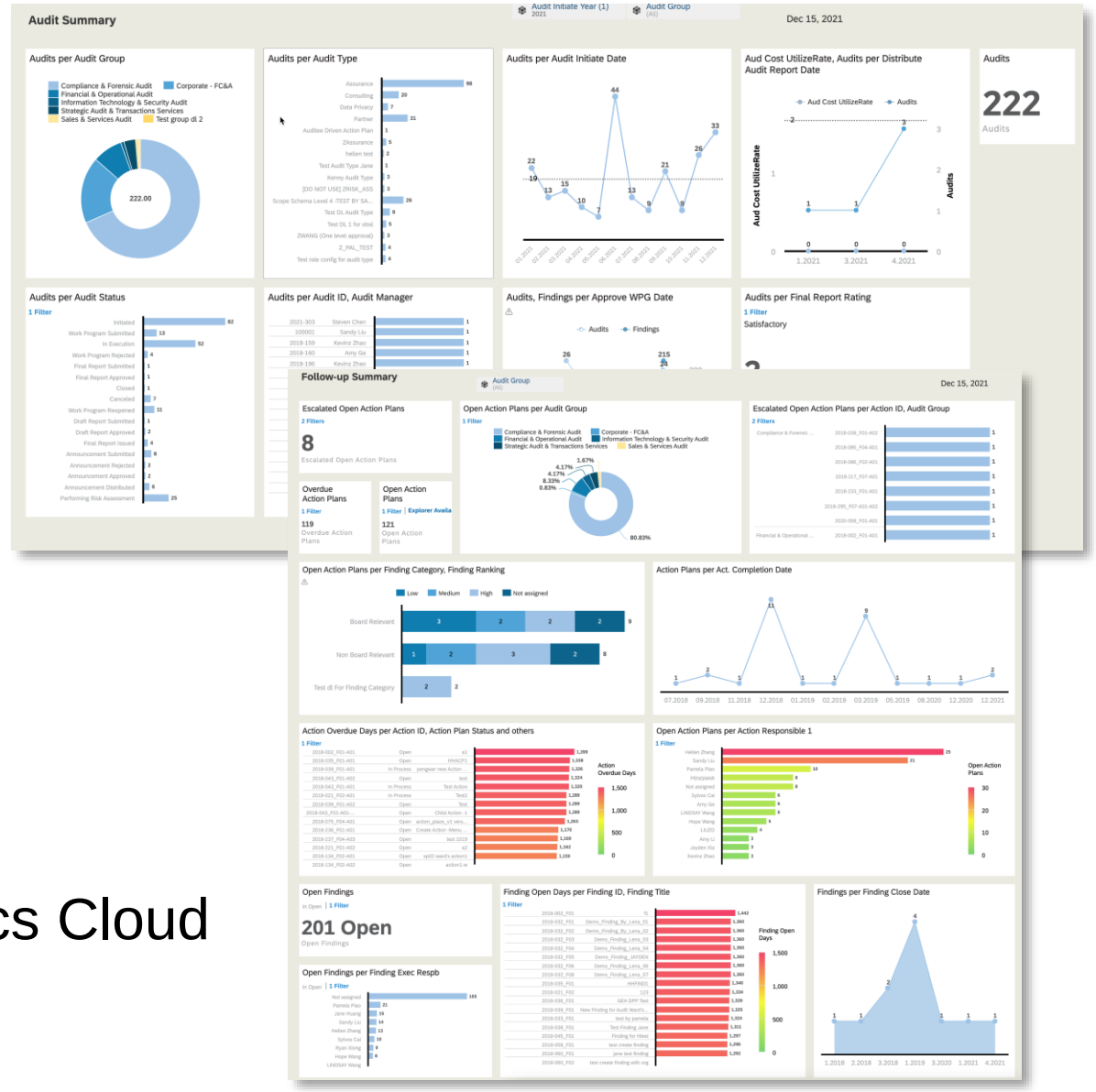
Get Sample Custom XML File | SAP Help Portal

Generate Report | SAP Help Portal

Create Word Templates with Content Controls | SAP Help Portal

SAP Audit Management (1.5) - SAC integration

- 31 Queries are provided for
  - Audit
  - Finding
  - Action Plan
  - Audit Plan
  - Organization
  - Dimension
  - Auditable Item
  - Risk
  - Control
  - Resource Management
- Queries can be directly used to build dashboard in SAP Analytics Cloud



SAPinsider

Audit Summary

Audit Status (All) | Audit Group (All) | Audit Type (All) | Audit Category (All) | Audit Title (All)

Total Audits

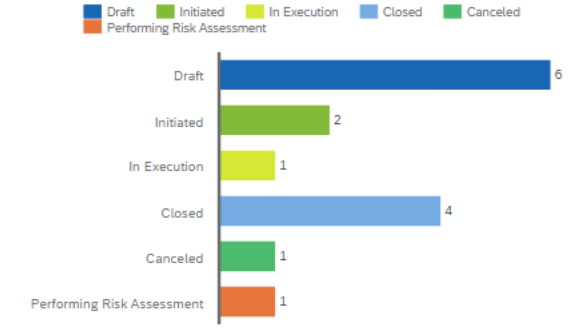
15

Audits in Progress

10

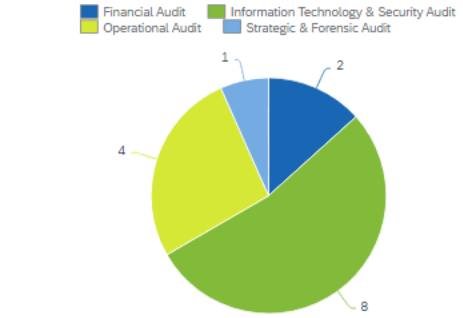
Audits by Audit Status

Explorer Available



Audits by Audit Group

Explorer Available

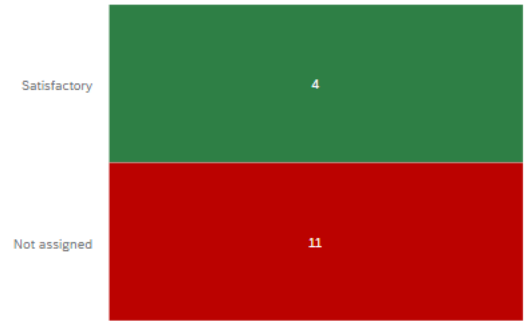


Risks by Audit

Explorer Available

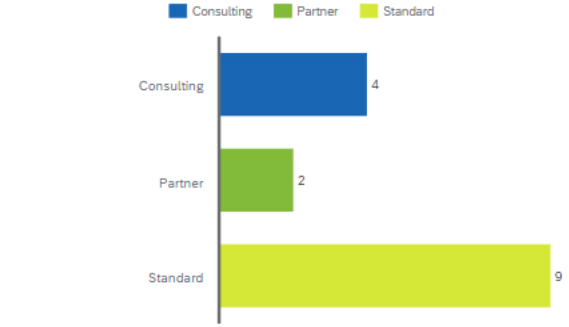
Audits by Final Report Rating

Explorer Available



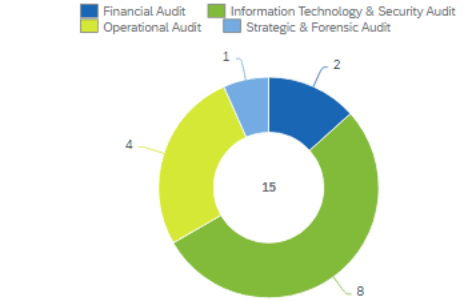
Audits by Audit Type

Explorer Available



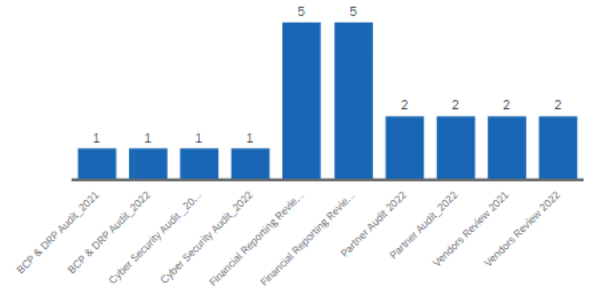
Audits by Audit Category

Explorer Available



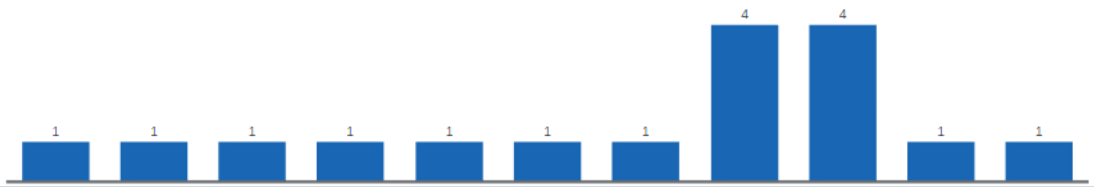
Controls by Audit

Explorer Available



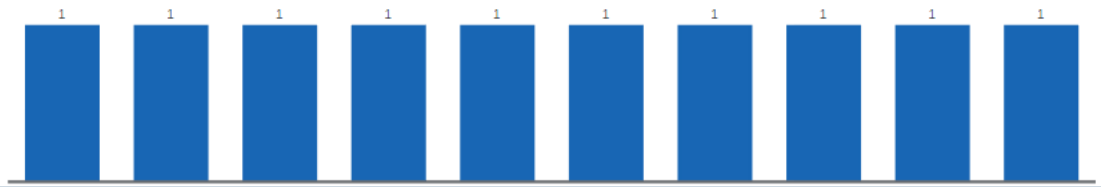
Audited Organization

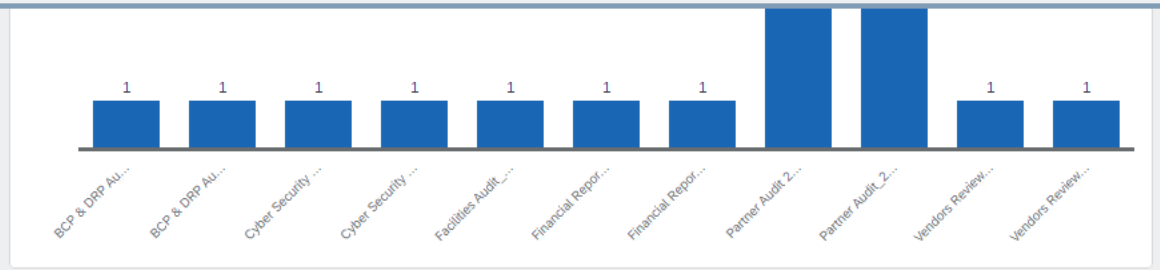
Explorer Available

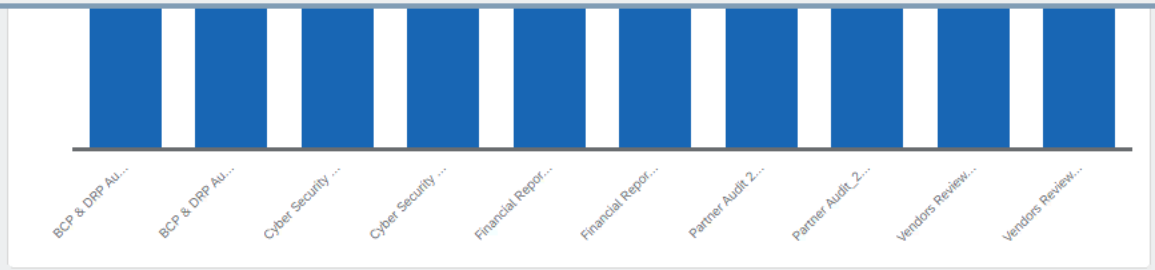


Auditable Items by Audit

Explorer Available

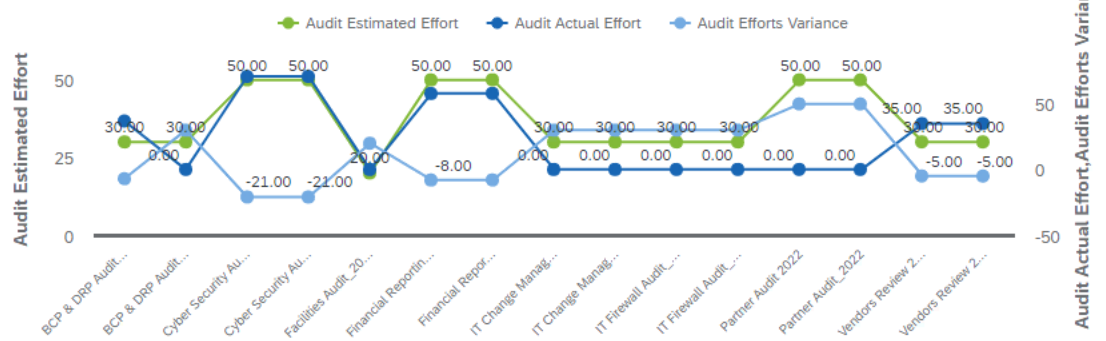






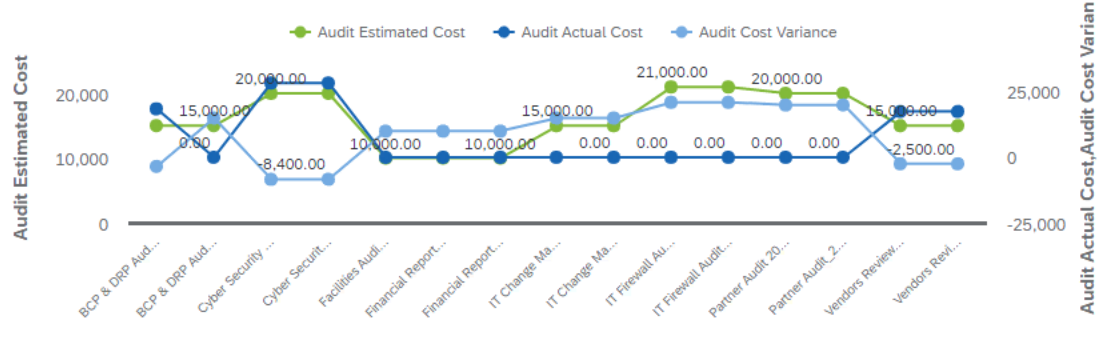
Audit Efforts Variance

Explorer Available



Audit Costs Variance

in USD | Explorer Available



						Audit Estimated Effort	Audit Actual Effort	Audit Efforts Variance	Audit Estimated Cost	Audit Actual Cost	Audit Cost Variance
	Planned Start Date	Actual Start Date	Planned End Date	Actual End Date	Final Report Rating						
	03.05.2021	03.05.2021	30.06.2021	31.05.2021	Satisfactory	50.00	58.00	-8.00	$10,000.00	$0.00	$10,000.00
	01.06.2021	02.06.2021	15.07.2021	06.07.2021	Satisfactory	30.00	35.00	-5.00	$15,000.00	$17,500.00	-$2,500.00
curity Audit	01.08.2021	04.08.2021	30.09.2021	15.09.2021	Satisfactory	50.00	71.00	-21.00	$20,000.00	$28,400.00	-$8,400.00
curity Audit	01.09.2021	01.09.2021	30.09.2021	30.09.2021	Satisfactory	30.00	37.00	-7.00	$15,000.00	$18,500.00	-$3,500.00
curity Audit	01.10.2021	#	31.10.2021	#	Not assigned	30.00	0.00	30.00	$15,000.00	$0.00	$15,000.00
curity Audit	01.12.2021	#	31.12.2021	#	Not assigned	30.00	0.00	30.00	$21,000.00	$0.00	$21,000.00
	01.05.2022	#	30.09.2022	#	Not assigned	50.00	58.00	-8.00	$10,000.00	$0.00	$10,000.00
	01.08.2021	#	31.08.2021	#	Not assigned	30.00	35.00	-5.00	$15,000.00	$17,500.00	-$2,500.00
curity Audit	01.08.2022	04.08.2021	30.09.2022	15.09.2021	Not assigned	50.00	71.00	-21.00	$20,000.00	$28,400.00	-$8,400.00

SAP Audit Management (1.6) - Business Processes

Investment, Customer Value and Business Benefit

Business process audits allow companies to evaluate industry trends and make improvements. It also identifies business risks.

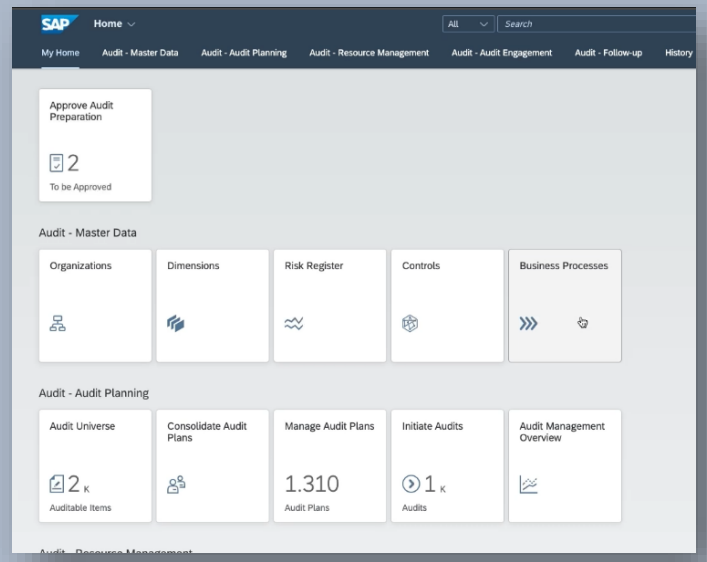
- Create and upload business hierarchies
- Integration with SAP Process Control

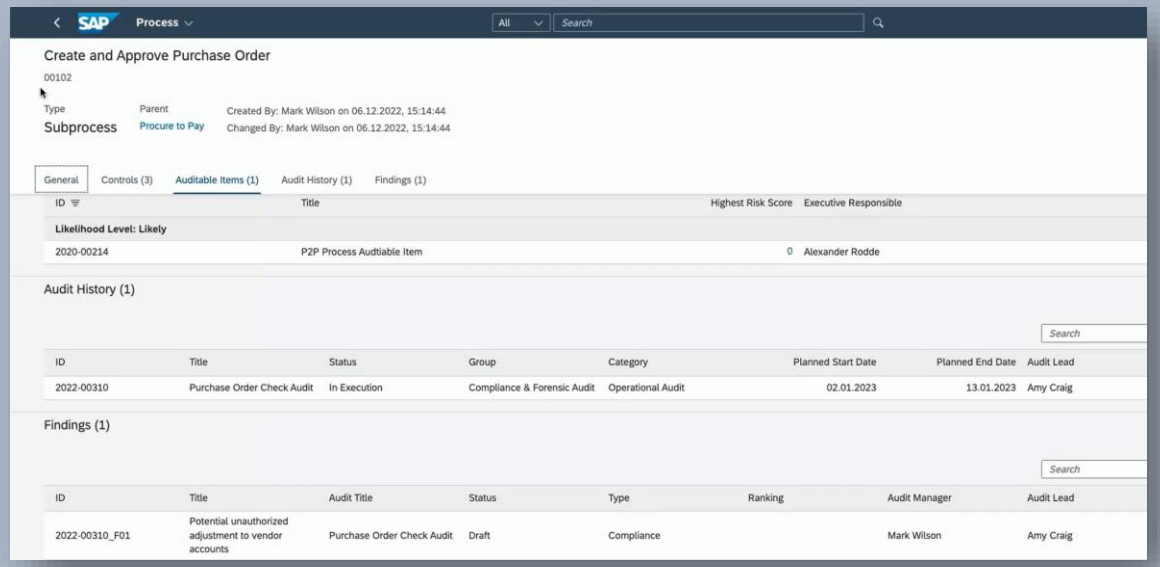
Assign organizations

Assign controls, auditable items, audit history, and audit findings

Look and Feel

[Business Processes in SAP Audit Management - SAP Media Share]





SAP Audit Management (1.6)

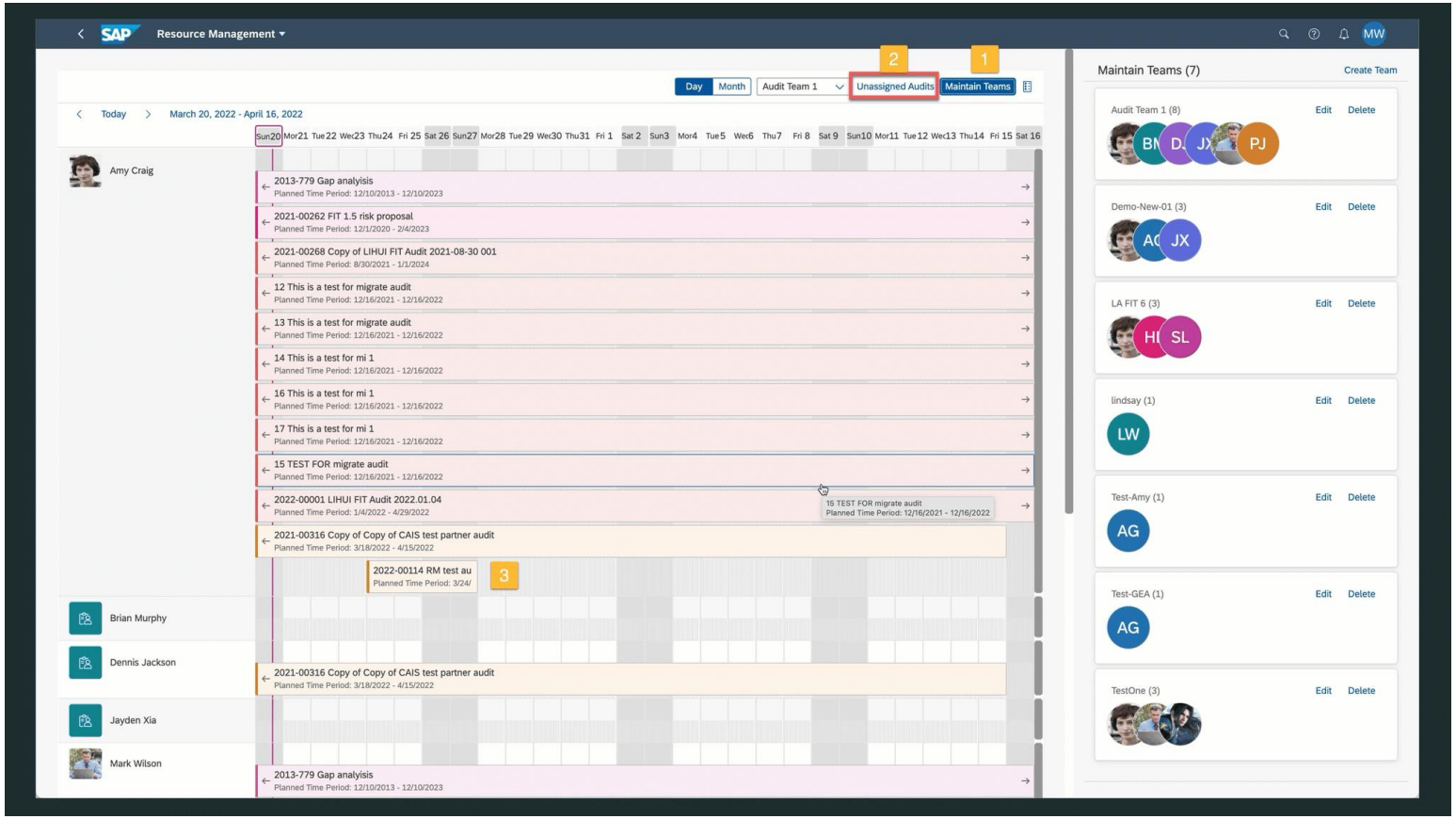
- New application roles for “Partners” and “external Auditors”.
  Custom application roles can also be made specific to certain audit types

- New Fiori app “Resources Management” with additional capabilities including:
  - Group audit staff into teams
  - Create new audits
  - Check the unassigned audits

SAP Audit Management (1.6) - Resource Management Fiori App

- Drag and drop to change planned time period
- Create audit from planning calendar

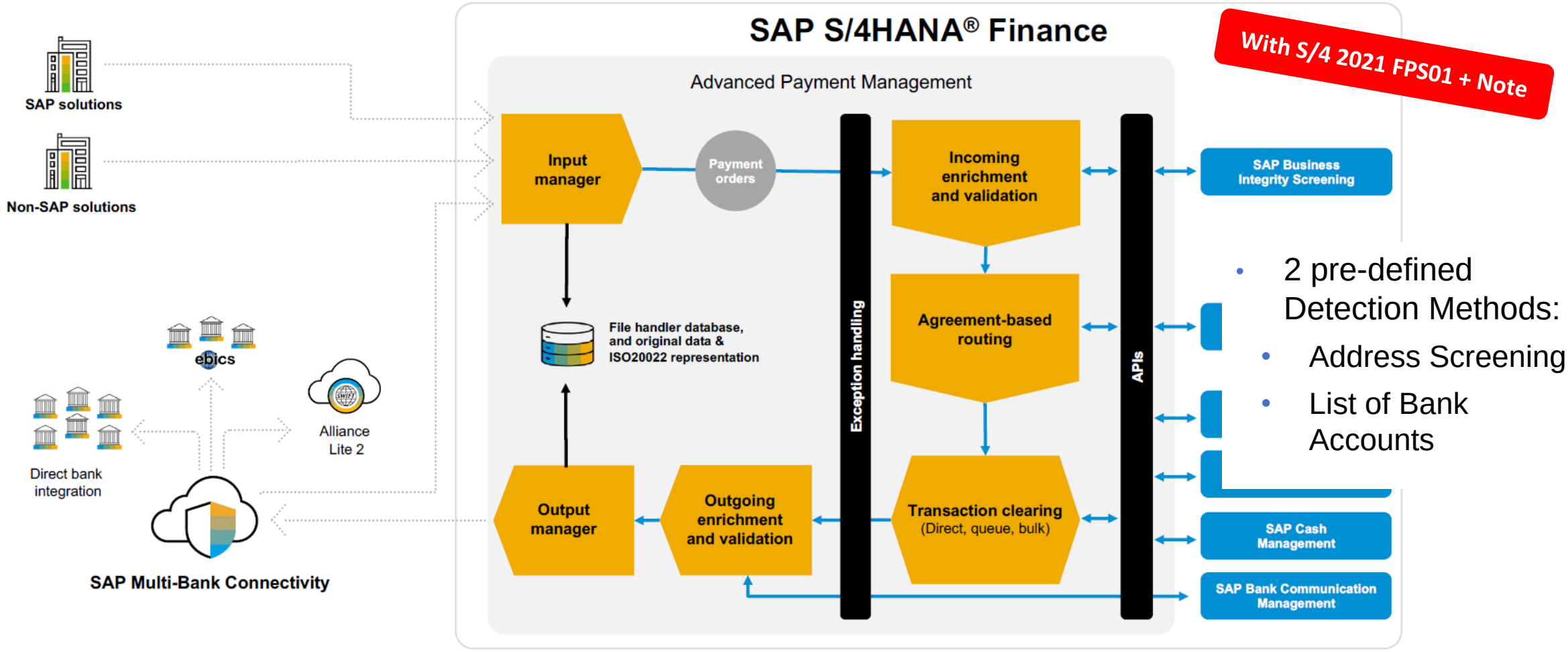
- Maitain audit teams
- Check unassigned audits



SAP Business Integrity Screening

- Include workflow-based task management features from Tax Compliance
- Integration with SAP S/4HANA Finance for advanced payment management
- Customer enhancements, including User Interface improvements and additional fields for address screening and compliance check results

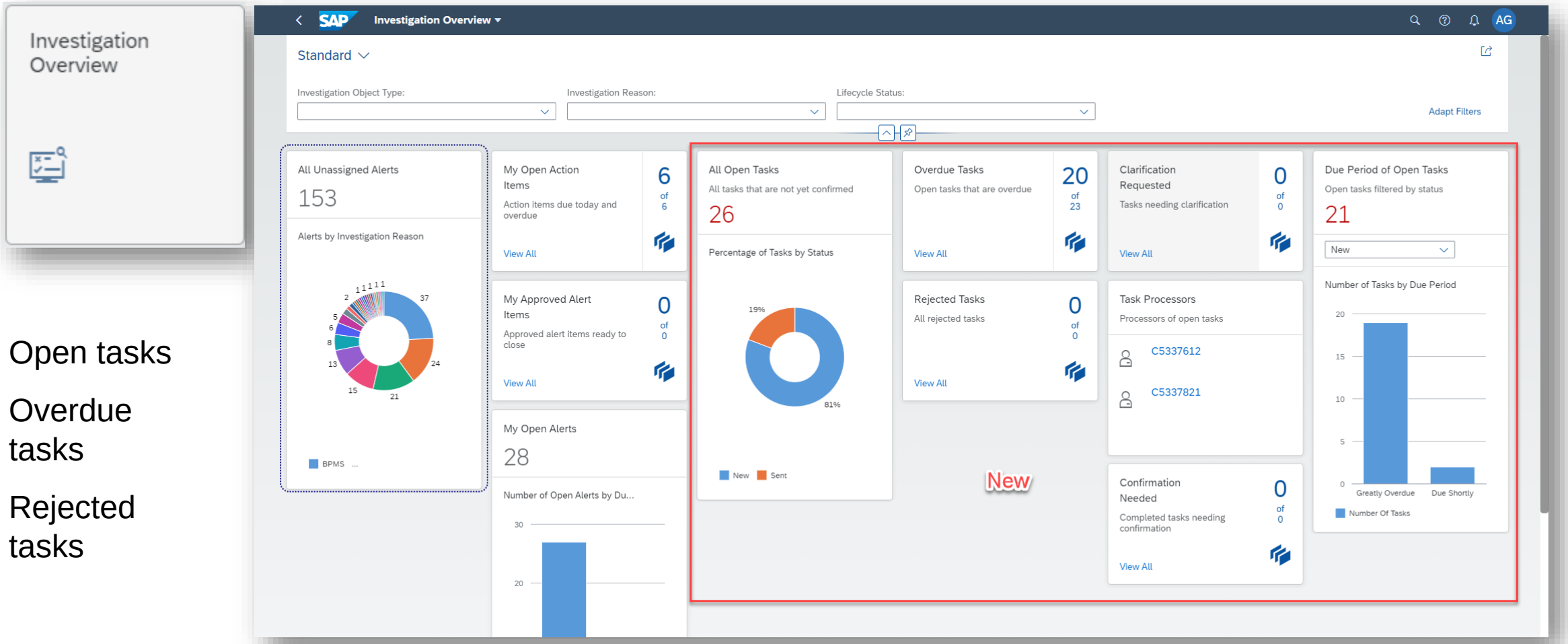
SAP Business Integrity Screening - Integration with SAP S/4HANA Advanced Payment Management



SAP S/4HANA Finance for advanced payment management provides centralized payment factory to manage payments from various systems – SAP, non-SAP and manual payments.

SAP Business Integrity Screening - Workflow-based Task Management

- Open tasks
- Overdue tasks
- Rejected tasks



SAP Financial Compliance Management – Public Cloud

- 70+ predefined controls for SOX and Tax Compliance delivered to increase compliance level and accelerate project completion by leveraging the most-commonly used controls
- GRC Business Content Hub – allows partner ecosystem to develop content, and position in SAP Store
- Integration with SAP Signavio to help ensure consistent process and control repositories and help reduce manual maintenance effort

SAP Financial Compliance Management - Ready to Use Content with SAP S/4HANA

- SAP Financial Compliance Management ships with over 70 rules for use as Automated Procedures (3 for Tax Compliance)
- These can be added to by customers
- Partners will produce and sell their own content packages too, via the GRC Content Hub Service

https://help.sap.com/viewer/eb2cb166f76248bdba43bf04fccd143e/1.0/en-US/c6bccce22805495c8c2432209bf80474.html

Previous

Content Overview ☆

The content for SAP S/4HANA Cloud involves checks on business data and transactions in SAP S/4HANA Cloud to help detect non-compliant activities or activities that may pose risks.

Prerequisites

In order to use the content for SAP S/4HANA Cloud, you first need to perform the following actions:

1. Establish a connection to SAP S/4HANA Cloud. For instructions, see Connectivity in the *Administration Guide for SAP Financial Compliance Management*.
2. Follow the Set-up Instructions for Scope Item 3KY.

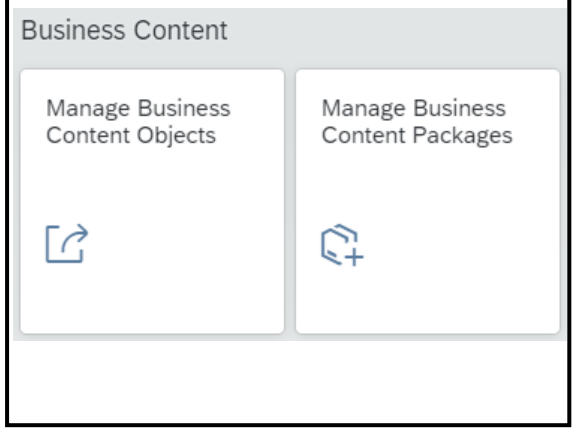
Content Overview

Content Item			Minimum Release Required
Controls	Automated Procedures	Manual Procedures	SAP S/4HANA Cloud
Journal Entries			
Check General Journal Entries Entered on Weekends	Extract General Journal Entries Entered on Weekends	N/A	2102
Period End Cut-Off	Extract Journal Entries Posted for Past Fiscal Period	Cut-Off Testing	2102
Check for Duplicate Journal Entries	Duplicate Journal Entries with Same General Ledger Account and Description	N/A	2105
	Duplicate Journal Entries with Same Amount and Description	N/A	2105
	Duplicate Journal Entries with Same General Ledger Account and Amount	N/A	2105
Investigate Journal Entries	Journal Entries Posted by General Ledger Accounts Not Used for Specified Amount of Time	N/A	2105
	Journal Entries That Exceed Defined Threshold Amount	N/A	2105
	Journal Entry Items That Exceed Defined Threshold Amount	N/A	2105
Check Manual Postings	Manual Postings Created By Dialog User Accounts	N/A	2105
Check Intercompany Bookings	Intercompany Bookings with No Trading Partner	N/A	2105
Check Purchase Orders with Goods Receipts	Purchase Orders with Cleared Invoices and No Goods Receipt	N/A	2105
	Purchasing Document Items With Overpaid Goods Receipts	N/A	2105
	Purchase Order Items Where Delivery of Excess Goods is Possible	N/A	2105
Suppliers			
Check Suppliers	Blocked Suppliers with Open Items	N/A	2105
	Suppliers with Duplicate Invoice Check Disabled	N/A	2105
	Suppliers with Evaluated Receipt Settlement Enabled	N/A	2105

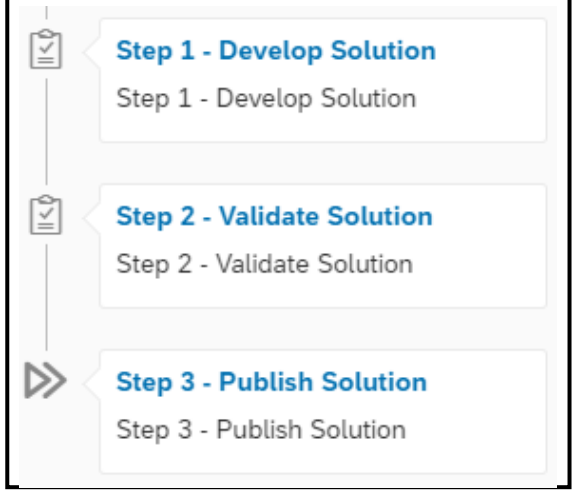
SAP Financial Compliance Management - GRC Content Hub Service

- Partners and SAP offer content via standardized offerings in order to support a scalable business model and distribution channel, that enables an easy and fast consumption by cloud customers for cloud GRC solutions like Financial Compliance Management

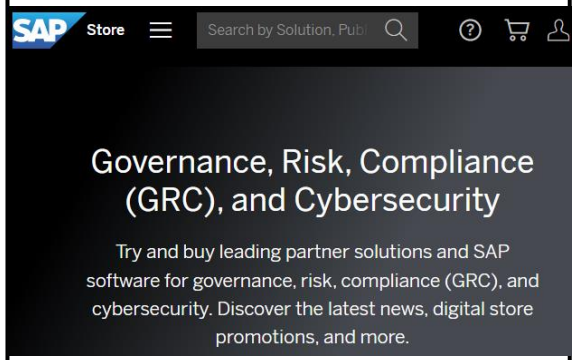
Development



Commercialization

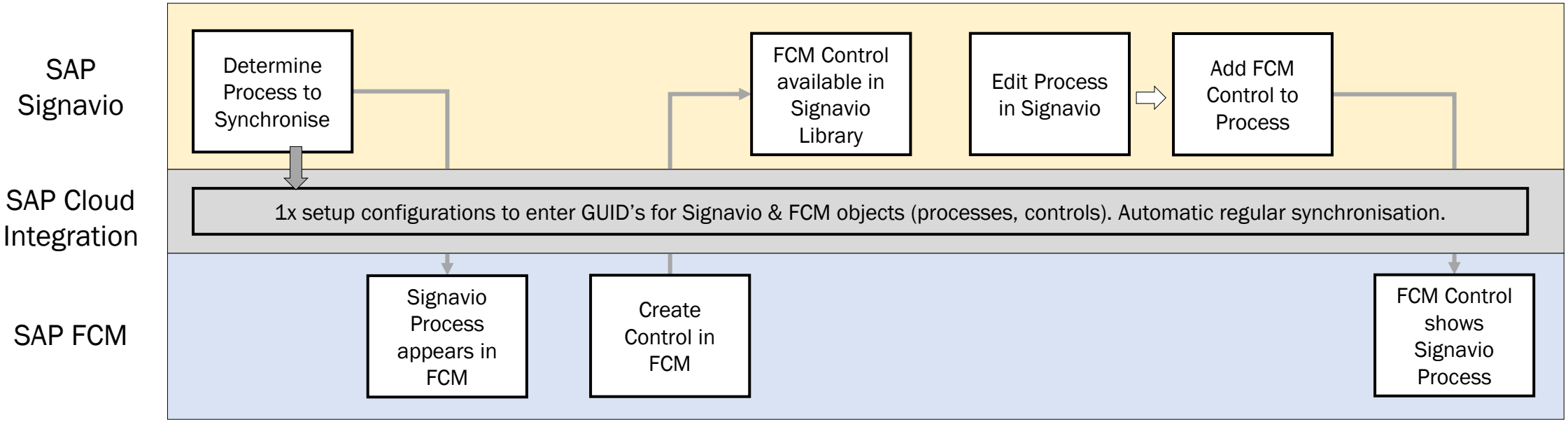


Sell & deliver



Maintenance

SAP Financial Compliance Management – SAP Signavio Integration



- How the FCM- Signavio process and control integration works, also showing SAP Cloud Integration service.

04 Roadmap

Customer Connect - Influencing the Roadmap

- We have received 119 customer requests
- **Thereof:**
  - 66 qualified with necessary votes
  - 5 are already offered
  - **24** have been accepted for development
  - **11** have been added as long-term requests to backlog
  - 26 not planned due to prioritization

Delivered

Delivered Improvement Requests

ID	IR Title	# of Votes	Improvement Note
288913	Email notification to delegate user upon delegation	23	3277193
288977	Delegation: "you are working on behalf of ...." - message in work Inbox & delegation tile	16	3277193
289641	PC: Warning message to prevent duplicate controls in CCM Jobs	12	3274618
287705	PC: Enhanced Audit Log comprehensibility with business rule changes	10	3271598, 3281118
287593	Add selection fields to "My Processes" fiori application	9	3285963
289639	PC: Enhance Comment Field in CCM Jobs and display it in Automated Monitoring	8	3351745
290514	Mass download attachments for Process Control	6	3284154
290733	Improvement of downloading option in Mass Attachments Download for Process Control	6	3284154
286072	RM Individual Risk movement /plotting on Heatmap	6	3339458 (planned to be delivered soon)

Already Offered

Already offered Improvement Requests (IRs)

Already offered

There is already a solution or workaround available to achieve the desired enhancement.

ID	IR Title	# of Votes	Comment
288930	Ability to download attachements via reports also for closed cases / workflows	12	3032121
287703	PC: Searching CCMs in the frontend for the current / last processor and forwarding them via frontend	11	Please use Assessment -> Assessment Planning -> Search Work Item
287709	PC: Sending reminders and escalations constantly to support comprehensive compliance activities	10	Please configure notification rules in Assessment -> Assessment Planning -> Notification Template Maintenance
291219	Forwarding option for within a manual work item to another processor/user	9	Please use Assessment -> Assessment Planning -> Search Work Item
290380	CCM: Limit results displayed on the Monitoring Jobs tab based on session timeframe	6	3060138

Planned Innovations **SAP Process Control**

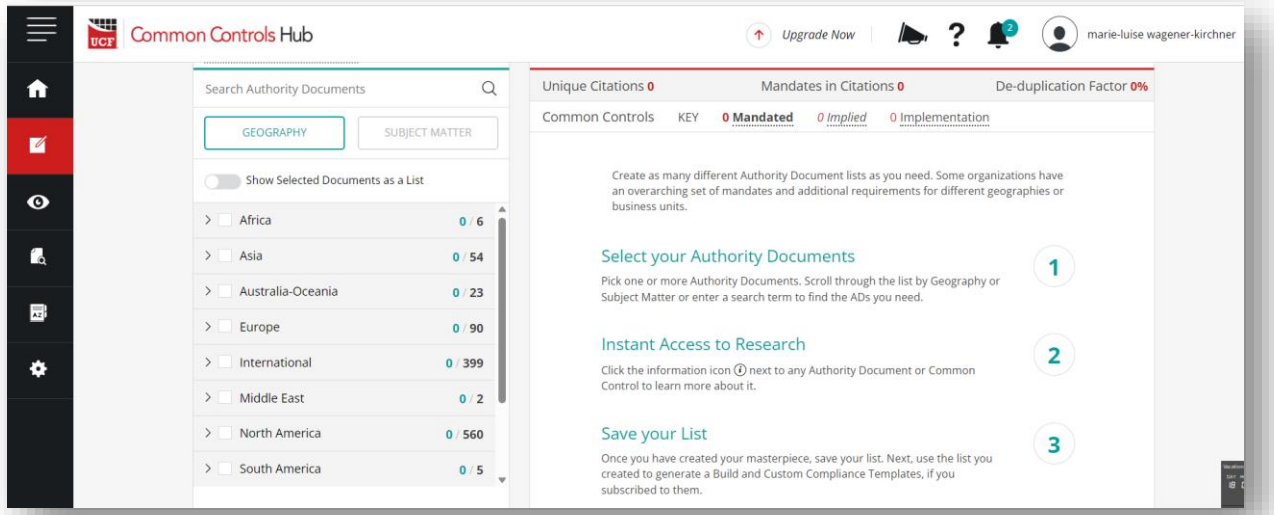
Removal of connectors not used from data source and business rule

When an application system is not used for continuous control monitoring (CCM) anymore, the compliance manager removes the following from the system:

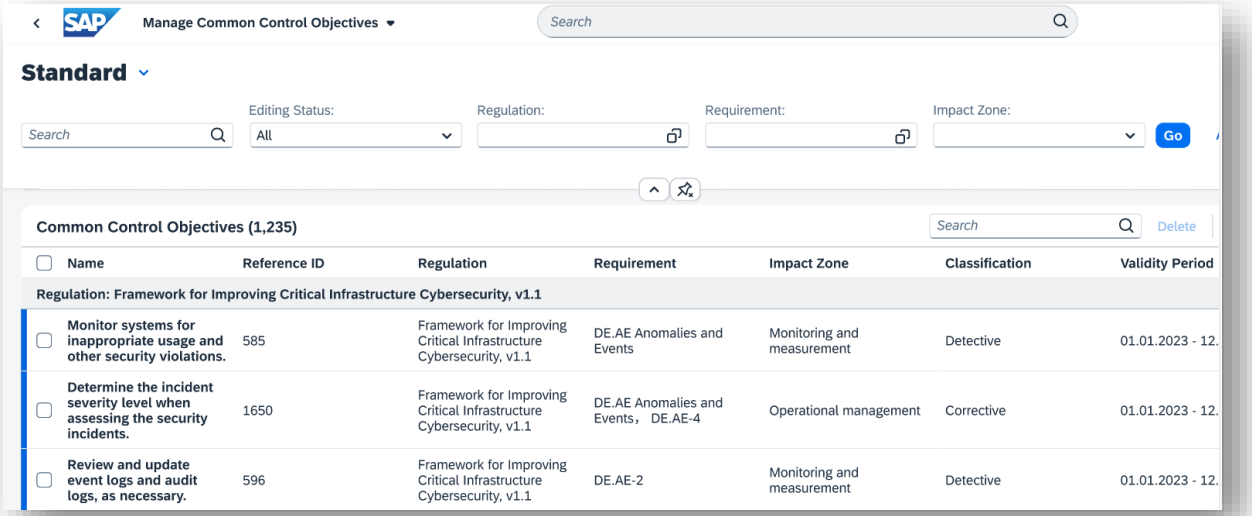
- Make sure no planned job steps exist for this business rule
- Remove connectors from business rule and date source
- Remove the connectors information from the back-end configuration

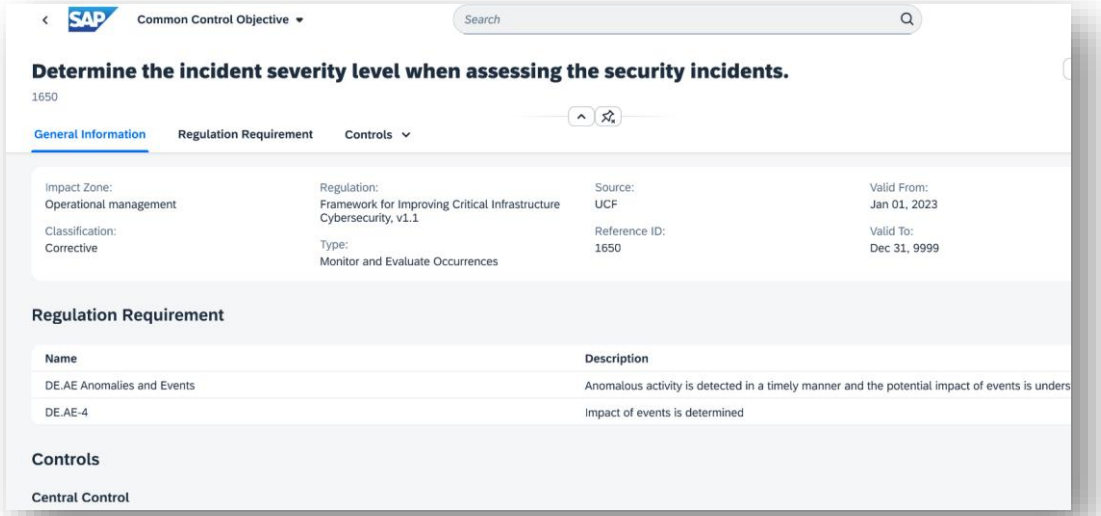
Upcoming: UCF Integration

Lab Preview



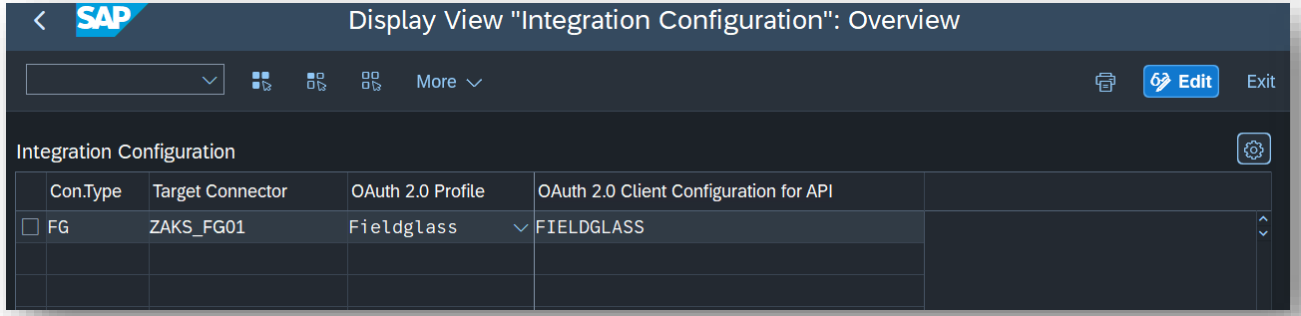
Holistic integration of UCF and corresponding content (authority document, citation, common control) into SAP Process Control by introducing a common control objective that supports the assignment to existing central and local controls as well as the creation thereof with corresponding subsequent integration to SAP Risk Management.



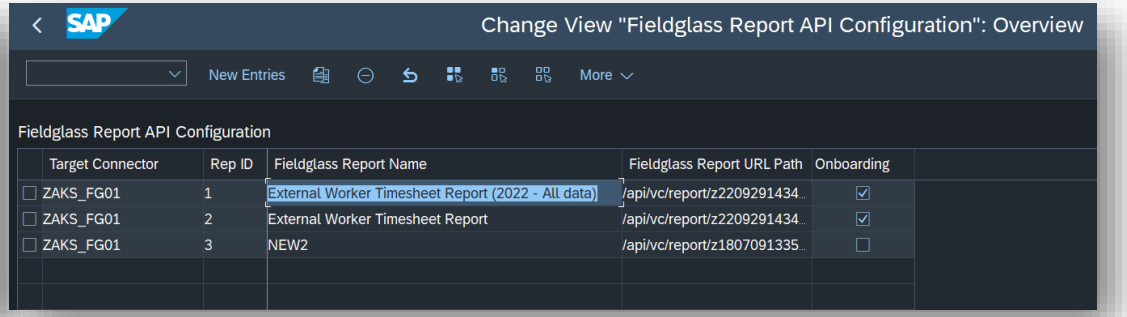


Upcoming: Fieldglass Integration

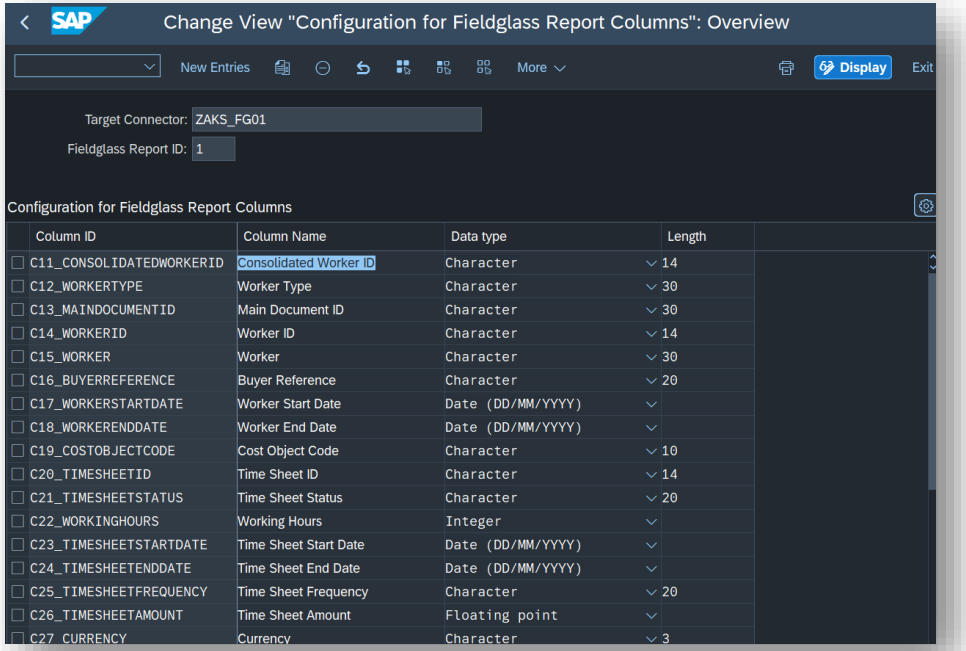
Lab Preview



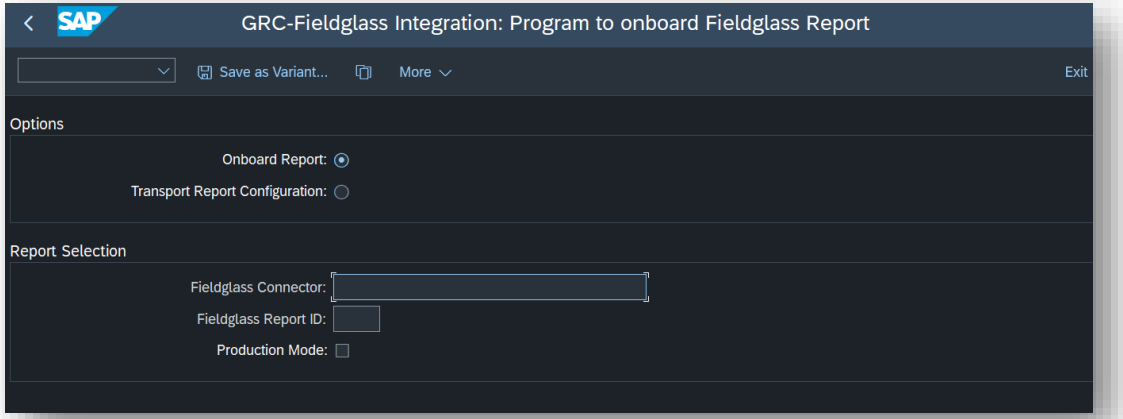
1. Connection



2. Report Registration



3. Report Configuration



4. Report Onboarding

Upcoming: Fieldglass Integration

Lab Preview

Timeframe: 10.10.2023 ID: 50123869 Last Modified On: 09.10.2023 16:10:50

Basic Information | Data for Analysis | Input Parameter | Filter Criteria | Deficiency Criteria | Conditions and Calculations | Output Format | Technical Settings | Ad-hoc Query | Controls | Attachments and Links

Target Connector: ZAKS_FG01 | Apply Rule | Deficiency: * Total_hours_Booked_Q1_2022 (10) | Max. Rows: 100 | Timeframe: Annual | Year: 2022 | Start

View: [Standard View] | Print Version | Export

Sequence Number	Deficiency Type	Deficiency Description	Consolidated Worker ID	Worker Type	Time Sheet ID	Time Sheet Status	Working Hours	Time Sheet Start Date	Time Sheet End Date	Time Sheet Frequency	Total_hours_Booked_Q1_2022
1	High	> More than 800 hours booked in period	SAPXW[redacted]	Statement of Work	SAP[redacted]	Paid	160	01.02.2022	28.02.2022	Monthly	832
2	High	> More than 800 hours booked in period	SAPXW[redacted]	Contingent	SAP[redacted]	Paid	280	01.02.2022	28.02.2022	Monthly	840
3	Medium	Between 751 and 800 booked hours in period	SAPXW[redacted]	Statement of Work	SAP[redacted]	Paid	120	01.01.2022	31.01.2022	Monthly	752
4	Medium	Between 751 and 800 booked hours in period	SAPXW[redacted]	Statement of Work	SAP[redacted]	Paid	160	01.03.2022	31.03.2022	Monthly	792
5	Medium	Between 751 and 800 booked hours in period	SAPXW[redacted]	Statement of Work	SAP[redacted]	Paid	152	01.03.2022	31.03.2022	Monthly	792
6	Medium	Between 751 and 800 booked hours in period	SAPXW[redacted]	Statement of Work	SAP[redacted]	Paid	160	01.02.2022	28.02.2022	Monthly	784
7	High	> More than 800 hours booked in period	SAPXW[redacted]	Statement of Work	SAP[redacted]	Paid	176	01.03.2022	31.03.2022	Monthly	832
8	Medium	Between 751 and 800 booked hours in period	SAPXW[redacted]	Statement of Work	SAP[redacted]	Paid	144	01.01.2022	31.01.2022	Monthly	784
9	High	> More than 800 hours booked in period	SAPXW[redacted]	Statement of Work	SAP[redacted]	Paid	232	01.03.2022	31.03.2022	Monthly	824
10	Medium	Between 751 and 800 booked hours in period	SAPXW[redacted]	Statement of Work	SAP[redacted]	Paid	152	01.01.2022	31.01.2022	Monthly	788

Ready to use as data source to apply any business rule.

Planned Innovations **SAP Risk Management**

- Rule-based risk response recommendation
- Situation Handling for Key Risk Indicators violation
- Business Continuity Planning and Business Impact Assessment
- Third Party Risk Management

Planned Innovations **SAP Audit Management**

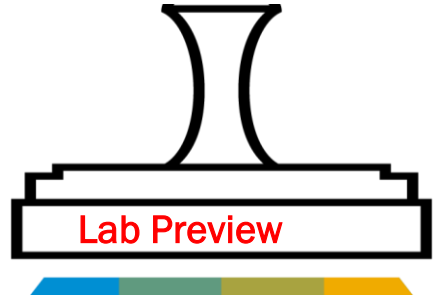
- Regularly retrieve KRIs from SAP Risk Management
- Use KRIs to prioritize auditable items
- Enable users to check out and answer all the questions within a work package on a single page
- Upload evidence and create findings for questions

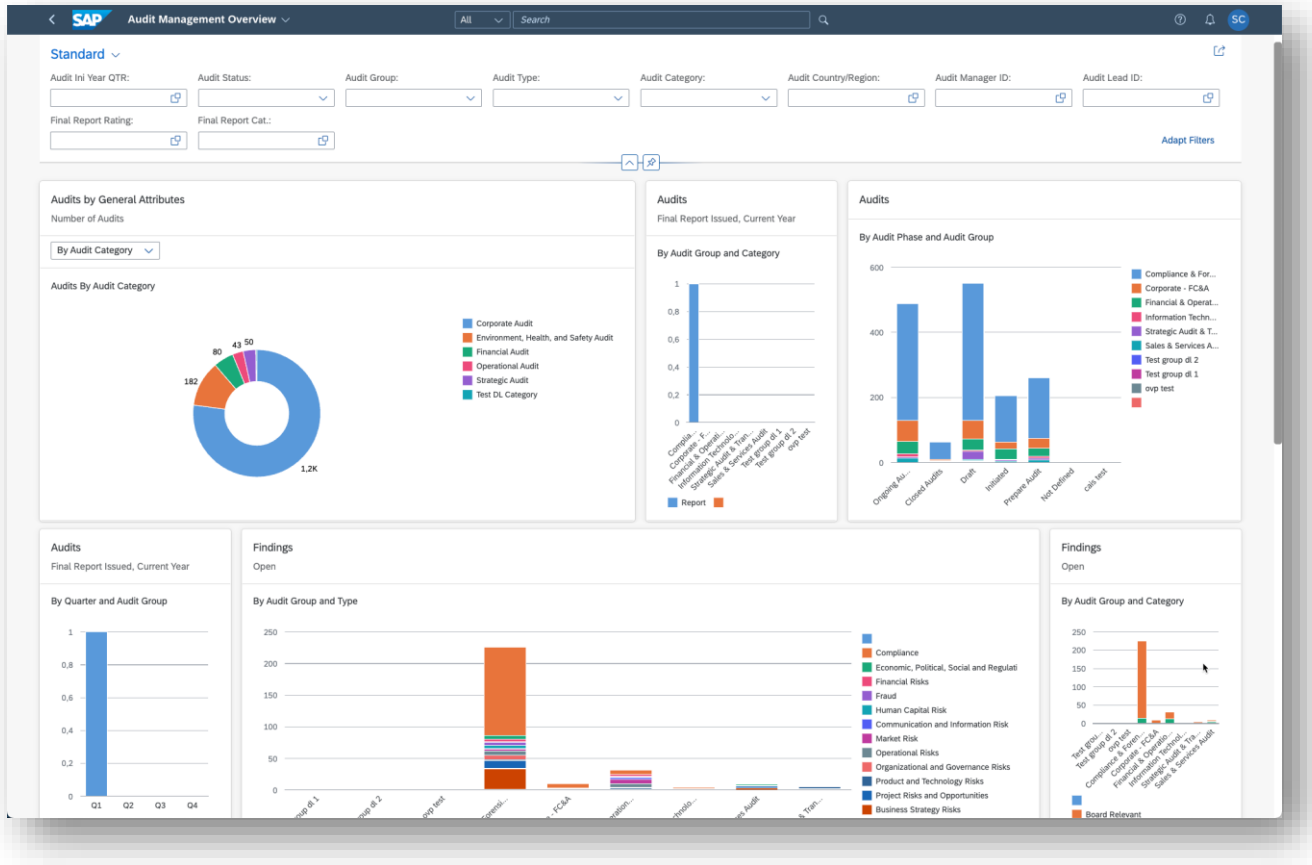
Upcoming: Embedded **Analytics** for Audit Reporting

3 Apps

- Analyze Audit Engagements
- Analyze Findings
- Analyze Action Plans

10+ Charts



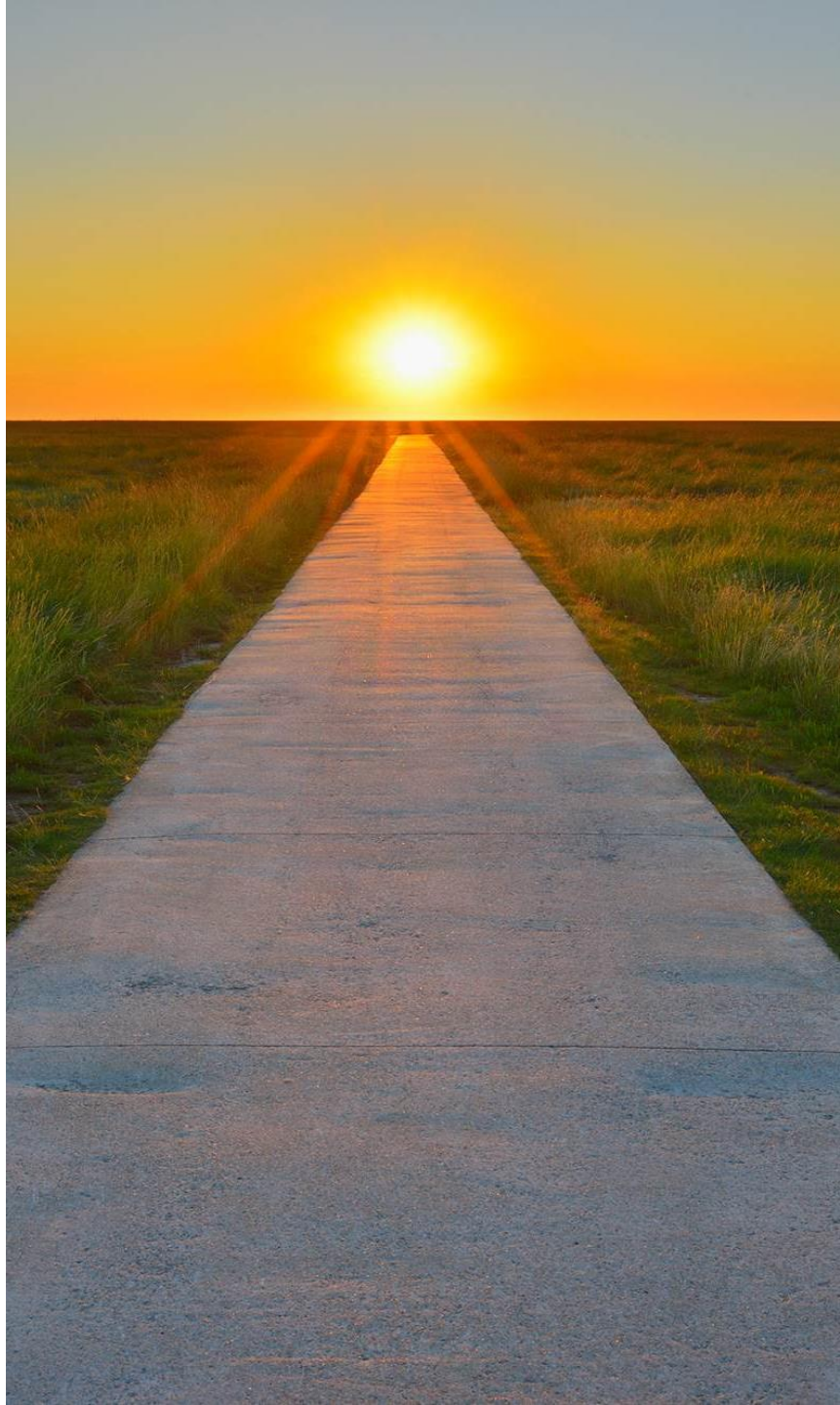


Planned Innovations **SAP Financial Compliance Management**

- Risk services capability
- Decision support by Machine Learning

Upcoming: New Release in 2026

- GRC Tuesdays: Announcing SAP's plans for a next generation Governance, Risk, and Compliance Platform: SAP GRC edition for SAP HANA | SAP Blogs
- SAP Access Control, SAP Process Control and SAP Risk Management and is planned for the 1st quarter of 2026. Regular updates will be provided in the GRC Tuesdays blogs. To give customers sufficient time to plan their transition to the new release, SAP is also planning on extending the maintenance timeline for SAP GRC version 12.0 by one year, until the end of 2028.
- SAP has also just announced a new SAP S/4HANA version of SAP Audit Management, SAP Business Integrity Screening (previously known as SAP Fraud Management) and SAP Tax Compliance. This update is also planned for Q1 2026.
- This means a complete new suite of solutions for Three Lines (of Defense) planned for early 2026!
- In addition to being built exclusively on SAP S/4HANA Foundation and SAP S/4HANA, this new release will deliver a technical landscape harmonization and an effortless upgrade path for existing customers.
- Note 3326989 - Announcement of the Upcoming Release of SAP Access Control, SAP Process Control and SAP Risk Management in 2026 - SAP ONE Support Launchpad
- More details: SAP Note 3334350 – Announcement of the Upcoming Release of SAP assurance and compliance software for SAP S/4HANA



Wrap Up

Reimagine risk and compliance with integrated, automated, and embedded solutions to protect your business

Adopt the Three Lines Model recommended by the Institute of Internal Auditors and others by linking operations, risk management, compliance, and internal audit. SAP GRC solutions support automation for tasks and decision-making, real-time visibility with continuous control monitoring, and predictive analytics to inform business operations and planning.

Leverage predictive capabilities for improved risk management

Protect your company's reputation and intellectual property enterprise-wide. Flexible solutions for cyberthreat monitoring, data controlling, identity and access governance, and privacy management help keep systems and data more secure in a continuously changing environment while enabling powerful and flexible monitoring, detection, and response.

Reduce cyberthreats and manage user identities

Conduct trade in evolving global markets with greater confidence and agility. With SAP solutions, you can maximize the potential of trade strategies and partnerships by centrally managing trade compliance based on ever-evolving regulatory requirements, geopolitical risks, changing market opportunities, tariffs, trade agreements, and other customs processes.

Optimize global trade with agile compliance processes

Just some samples. You want to see more innovations? Visit SAP's Roadmap Explorer.

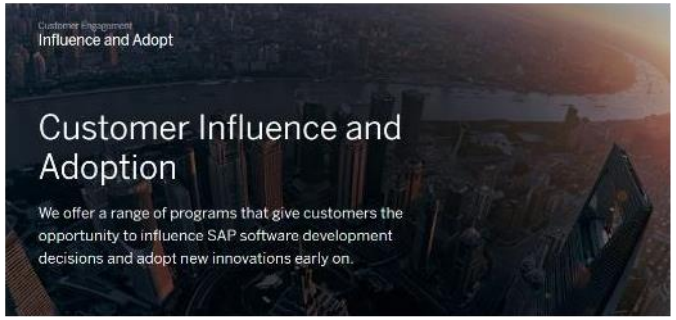
[Project One Pager]

Key Points to Take Home

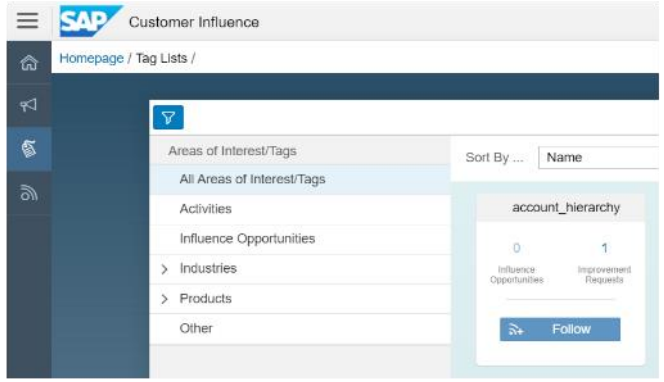
- Think BIG, but start small
- Stick to the standard
- Check out regular updates on deliveries (Notes and Support packs)
- Check out what's really in GRC
- Training, enablement and feedback are key
- User support never ends
- Change is the only constant!

How to Influence SAP

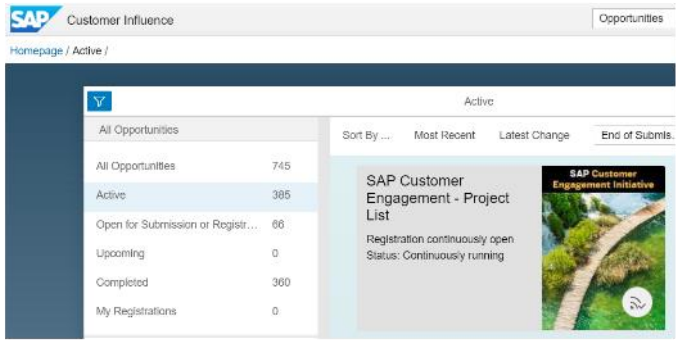
influence.sap.com provides a public overview of all influence & adopt offerings at SAP



Subscribe to your area of interest by selecting a tag and stay up to date with notifications



Log on with your SAP cloud identity for more all active engagement opportunities



Register or follow a dedicated CEI Project or subscribe to CEI overview for all projects

Where to find more information

GRC on sap.com

- **Governance, Risk, and Compliance (GRC) Solutions:** https://www.sap.com/products/financial-management/grc.html
- **What is GRC:** https://www.sap.com/insights/what-is-grc.html

General help with SAP Governance Risk and Compliance

- **Help Portal:** https://help.sap.com/docs/> Then search for the product you need
- **SAP Process Control:** https://help.sap.com/docs/SAP_PROCESS_CONTROL
- **SAP Risk Management:** https://help.sap.com/docs/SAP_RISK_MANAGEMENT
- **SAP Audit and Compliance Software (ACS):** https://help.sap.com/docs/acs

Governance, Risk, Compliance (GRC), and Cybersecurity Blogs and our Community

- **GRC blogs:** https://blogs.sap.com/tags/237150e2-6555-4a16-b49e-e93dbf1891da/
- **SAP GRC Community:** https://community.sap.com/topics/grc

Thank you! Questions?

Please remember to complete your session evaluation.

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